

PHA Plan
Housing Authority of the City of East St.
Louis
Annual Plan for Fiscal Year 2011

Version 3
August 3, 2011

ANNUAL PLAN TABLE OF CONTENTS

Annual Plan for Fiscal Year 2011

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PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: <u>HOUSING AUTHORITY OF THE CITY OF EAST ST LOUIS</u> PHA Code: <u>IL001</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>04/2011</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>1983</u> Number of HCV units: <u>676</u>				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: PROMOTE THROUGH COOPERATIVE RELATIONSHIPS WITH RESIDENTS, SAFE, DECENT, AND SANITARY AFFORDABLE HOUSING TO ENHANCE THE QUALITY OF LIFE FOR ALL RESIDENTS AND CREATE INNOVATIVE PROGRAMS THAT WILL FOSTER ECONOMIC INDEPENDENCE.				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. SEE 10.0 FOR FIVE YEAR PLAN 2010-2014 UPDATE.				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: ELEMENTS 1, 2, 4 AND 11. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. HUD APPROVED 5 YEAR AND ANNUAL PHA PLANS ARE POSTED AT EACH AMP, THE CENTRAL OFFICE, AND THE HOUSING AUTHORITY WEB SITE. EACH RESIDENT COUNCIL HAS RECEIVED A COPY OF THE PLAN.				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable. HOPE VI THE EAST ST. LOUIS HOUSING AUTHORITY INTENDS TO APPLY FOR A HOPE VI/CHOICE NEIGHBORHOOD GRANT FOR THE NORMAN E. OWENS PLAZA DEVELOPMENT (AMP GROUP 7 - IL021/44). THE DEVELOPMENT INCLUDES 148 LIVING UNITS. THE TIME TABLE FOR SUBMISSION OF THE APPLICATION IS STILL UNDER DEVELOPMENT AT THIS TIME. DEMOLITION AND/OR DISPOSITION PHOENIX COURT (AMP GROUP 4 - IL-028) 851 SUCCESS COURT - DEMOLITION/DISPOSITION (IL-028, DUPLEX THREE BEDROOM UNIT, NO ACCESSIBILITY FEATURES) 852 SUCCESS COURT - DEMOLITION/DISPOSITION (IL-028, DUPLEX THREE BEDROOM UNIT, NO ACCESSIBILITY FEATURES) 853 SUCCESS COURT - DEMOLITION/DISPOSITION (IL-028, DUPLEX THREE BEDROOM UNIT, NO ACCESSIBILITY FEATURES) 854 SUCCESS COURT - DEMOLITION/DISPOSITION (IL-028, DUPLEX THREE BEDROOM UNIT, NO ACCESSIBILITY FEATURES) PROJECTED ACTIVITY START DATE: 07/01/11 CONVERSION OF PUBLIC HOUSING NO CHANGE FOR 2011 PLAN YEAR HOMEOWNERSHIP PROGRAMS NO CHANGE FOR 2011 PLAN YEAR PROJECT-BASED VOUCHER PROGRAM THE HOUSING AUTHORITY PLANS TO OPERATE 65 PROJECT BASED UNITS. DEVELOPMENT WORK IS SET TO OCCUR IN THREE PHASES AND INCLUDE TAX CREDIT FUNDING AND BOND ISSUES FROM VARIOUS SOURCES. PHASE ONE, AT 15 TH STREET AND BOND AVENUE CONSISTING OF 74 SENIOR UNITS WILL INCLUDE 8 PROJECT BASED VOUCHER UNITS. PHASE TWO AT 79 TH STREET AND CHURCH LANE CONSISTING OF 90 FAMILY UNITS WILL INCLUDE 12 PROJECT BASED VOUCHERS. THE NORMAN E. OWENS PLAZA HOPE VI PROJECT CONSISTING OF 112 IS ESTIMATED TO INCLUDE 45 PROJECT BASED VOUCHERS. THE PROJECT BASED VOUCHERS WILL ALLOW THE HOUSING AUTHORITY TO MEET THE FUNDING PROJECTIONS AND FINANCE REQUIREMENTS OF THE VARIOUS PROPOSED DEVELOPMENTS.				

	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																																																																
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. SEE ATTACHMENTS B THROUGH Y.																																																																
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. SEE ATTACHMENT Z																																																																
8.3	Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. NOT APPLICABLE																																																																
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>HOUSING NEEDS</p> <table border="1"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th><th>Overall</th><th>Afford-ability</th><th>Supply</th><th>Quality</th><th>Access-ibility</th><th>Size</th><th>Loca-tion</th> </tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td><td>2,958</td><td>5</td><td>3</td><td>5</td><td>5</td><td>5</td><td>4</td> </tr> <tr> <td>Income >30% but <=50% of AMI</td><td>908</td><td>5</td><td>5</td><td>5</td><td>5</td><td>5</td><td>4</td> </tr> <tr> <td>Income >50% but <80% of AMI</td><td>884</td><td>3</td><td>5</td><td>5</td><td>3</td><td>5</td><td>3</td> </tr> <tr> <td>Elderly</td><td>1,816</td><td>4</td><td>5</td><td>5</td><td>4</td><td>4</td><td>5</td> </tr> <tr> <td>Families with Disabilities</td><td></td><td>5</td><td>5</td><td>5</td><td>5</td><td>5</td><td>5</td> </tr> <tr> <td>Race/Ethnicity</td><td></td><td>5</td><td>5</td><td>5</td><td>5</td><td>5</td><td>5</td> </tr> </tbody> </table> <p>Note: The above numbers of families are extracted from the City of East St. Louis Five-Year Consolidated Strategic Plan 2010-2014, which was prepared with an effective date of November 12, 2010. According to the Consolidated Plan 2010-2014, the population of East St. Louis is expected to continue to decline. Taking into consideration the anticipated decline in population, condition of housing units and housing problems currently being experienced by renters, the estimate is that there will be a need to serve 2,700 renters over the next five years. Very low-income households continue to be faced with a dilemma. While there appears to be adequate housing for very low-income households, in fact much of this housing for large families requiring three or more bedrooms is being rented by higher income households. "However, the number of 3 plus bedroom units (1,265) being rented at rents affordable to extremely low income households exceeded the number of large related extremely low-income households (373) by over 800 housing units." Low-income households are faced with problems similar to those faced by very low-income households. There are 1,904 rental units in the City of East St. Louis with rents, which are affordable to low-income households. Low-income households rent sixty three percent of these rental units. "Analysis of this data reveals that there are enough rental units in the city having rents that could accommodate low income households of various types but these households are being occupied by higher income households." Eight hundred eighty four moderate-income families rent in the City of East St. Louis. The Consolidated Plan indicates that there is a need for moderate-income housing in the city. The apparent current lack of moderate-income rental housing has resulted in moderate-income families seeking low-income and very low-income housing in the East St. Louis market. The Consolidated Plan theorizes that an increase in moderate-income rental housing would result in more opportunities for low-income and very low-income families in the current East St. Louis rental market.</p> <p><u>Note: The 2010 Census is expected to produce new data which will allow for update and a more accurate data becoming available for the above Housing Needs of Families in the Jurisdiction by Family Type chart.</u></p> <p>Note: The above information and quotes were extracted from the City of East St. Louis Five-Year Consolidated Strategic Plan 2010-2014 and Annual Action Plan 2005 pages 24-68.</p>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion	Income <= 30% of AMI	2,958	5	3	5	5	5	4	Income >30% but <=50% of AMI	908	5	5	5	5	5	4	Income >50% but <80% of AMI	884	3	5	5	3	5	3	Elderly	1,816	4	5	5	4	4	5	Families with Disabilities		5	5	5	5	5	5	Race/Ethnicity		5	5	5	5	5	5
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Race/Ethnicity		5	5	5	5	5	5																																																										

<p>9.1</p>	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p><u>STRATEGY FOR ADDRESSING HOUSING NEEDS</u></p> <p><u>(1) Strategies</u></p> <p>Need: Shortage of affordable housing for all eligible populations</p> <p>Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Employ effective maintenance and management policies to minimize the number of public housing units off-line <input checked="" type="checkbox"/> Reduce turnover time for vacated public housing units <input checked="" type="checkbox"/> Reduce time to renovate public housing units <input checked="" type="checkbox"/> Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources <input checked="" type="checkbox"/> Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction <input checked="" type="checkbox"/> Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required <input checked="" type="checkbox"/> Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration <input checked="" type="checkbox"/> Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program <input checked="" type="checkbox"/> Participate in the Consolidated Plan development process to ensure coordination with broader community strategies <p>Strategy 2: Increase the number of affordable housing units by:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Apply for additional section 8 units should they become available <input checked="" type="checkbox"/> Leverage affordable housing resources in the community through the creation of mixed - finance housing <input checked="" type="checkbox"/> Pursue housing resources other than public housing or Section 8 tenant-based assistance. <p>Need: Specific Family Types: Families at or below 30% of median</p> <p>Strategy 1: Target available assistance to families at or below 30 % of AMI</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing <input checked="" type="checkbox"/> Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance <input checked="" type="checkbox"/> Adopt rent policies to support and encourage work <p>Need: Specific Family Types: Families at or below 50% of median</p> <p>Strategy 1: Target available assistance to families at or below 50% of AMI</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Employ admissions preferences aimed at families who are working <input checked="" type="checkbox"/> Adopt rent policies to support and encourage work <p>Need: Specific Family Types: The Elderly</p> <p>Strategy 1: Target available assistance to the elderly:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Seek designation of public housing for the elderly <input checked="" type="checkbox"/> Apply for special-purpose vouchers targeted to the elderly, should they become available <p>Need: Specific Family Types: Families with Disabilities</p> <p>Strategy 1: Target available assistance to Families with Disabilities:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing <input checked="" type="checkbox"/> Apply for special-purpose vouchers targeted to families with disabilities, should they become available <input checked="" type="checkbox"/> Affirmatively market to local non-profit agencies that assist families with disabilities <p>Need: Specific Family Types: Races or ethnicities with disproportionate housing needs</p> <p>Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:</p> <p>Strategy 2: Conduct activities to affirmatively further fair housing</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units <p><u>(2) Reasons for Selecting Strategies</u></p> <p>Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Funding constraints <input checked="" type="checkbox"/> Staffing constraints <input checked="" type="checkbox"/> Limited availability of sites for assisted housing <input checked="" type="checkbox"/> Extent to which particular housing needs are met by other organizations in the community <input checked="" type="checkbox"/> Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA <input checked="" type="checkbox"/> Influence of the housing market on PHA programs <input checked="" type="checkbox"/> Results of consultation with residents and the Resident Advisory Board
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10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. FIVE YEAR PLAN 2010-2014, UPDATE. GOAL: PROMOTE DECENT AFFORDABLE HOUSING 1. <u>PUBLIC HOUSING INITIATIVES</u>: - SINCE MOST OF THE INITIATIVE IS BASED ON RECENTLY RELEASED 2010 CENSUS INFORMATION 2011/2012 WILL BE THE TIME PERIOD WHEN MOST OF THE EFFORT WILL BE PLACED. 2. <u>HOUSING CHOICE VOUCHER (SECTION 8) INITIATIVES</u>: THE SECTION 8 DEPARTMENT HAS FACED VARIOUS CHALLENGES OVER THE PAST YEAR AND THE EFFORT TO PREPARE RESIDENTS TO PARTICIPATE IN A HOUSING CHOICE VOUCHER HOMEOWNERSHIP PROGRAM HAS SLIPPED INTO THE 2012 CALENDAR YEAR. <u>DEVELOPMENT</u>: THE SIX PUBLIC HOUSING RENTAL UNITS ARE UNDER CONSTRUCTION AND WILL BE COMPLETED SUMMER 2011. PROPERTY HAS BEEN ACQUIRED, FINANCING IS COMPLETE AND GROUND HAS BEEN BROKEN FOR A COMBINED \$20 MILLION SENIOR PUBLIC HOUSING (74 UNITS) AND COMMERCIAL RETAIL PROPERTY WHICH IS SCHEDULED FOR COMPLETION SUMMER 2012. <u>NONPROFIT CORPORATION</u>: THE EAST ST. LOUIS CONSORTIUM FOR PROGRESS, DEVELOPMENT AND AFFORDABLE HOUSING HAS BEEN FORMED AND 501(C)3 STATUS APPLIED FOR WITH THE INTERNAL REVENUE SERVICE. GOAL: INCREASE HOMEOWNERSHIP OPPORTUNITIES THE ESLHA WAS NOT AWARDED FUNDS UNDER HE NEIGHBORHOOD STABILIZATION PROGRAM (NSP2) WHICH WOULD HAVE SUPPORTED STABILIZATION AND HOMEOWNERSHIP. GOAL: STRENGTHEN COMMUNITIES THE ESLHA HAS REVISED ITS SECTION 3 POLICY AND IS WORKING TO DEVELOP RELATED PROGRAMS FOR PUBLIC HOUSING/SECTION 8 RESIDENTS AND COMMUNITY RESIDENTS. THIS IS VERY TIMELY DUE TO THE ABOVE \$20 MILLION DEVELOPMENT PROJECT. GOAL: IMPROVE PHA MANAGEMENT AND INTERNAL CONTROLS THE ESLHA HAS PREPARED AND IMPLEMENTED A NEW AGENCY WIDE RISK ASSESSMENT/CONTROL POLICY. THE ESLHA IS CONTINUING TO CONDUCT EMPLOYEE SAFETY ASSESSMENTS AND TO IMPLEMENT RECOMMENDATIONS OF THOSE ASSESSMENTS.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" SIGNIFICANT AMENDMENT: A SIGNIFICANT AMENDMENT WILL BE REQUIRED AND WILL OCCUR WHEN CHANGES TO CAPITAL FUND PROGRAM DOCUMENTS REQUIRE HUD PRE-APPROVAL IN ACCORDANCE WITH THE COMPREHENSIVE GRANT PROGRAM GUIDEBOOK 7485.3 G AND SUBSEQUENT AMENDMENTS. A SIGNIFICANT AMENDMENT WILL BE REQUIRED AND WILL OCCUR TO THE ANNUAL PLAN WHEN RULES AND REGULATIONS AFFECTING RESIDENTS CHANGE SUCH THAT APPROPRIATE ADVANCE NOTICE IS REQUIRED AND THE PHA PLAN ELEMENTS POSTED FOR RESIDENT AND PUBLIC REVIEW REQUIRE CHANGE. SUBSTANTIAL DEVIATION/ MODIFICATION: THE HOUSING AUTHORITY 5 YEAR PLAN IS DIVIDED INTO GOALS, OBJECTIVES IN SUPPORT OF THE GOALS AND TASKS TO SUPPORT THE OBJECTIVE. CHANGING AN OBJECTIVE WILL BE CONSIDERED A SUBSTANTIAL DEVIATION/MODIFICATION OF THE PLAN. FAILURE TO COMPLETE, PLACE ON HOLD OR SLIP A TASK WITHIN AN OBJECTIVE WILL NOT BE CONSIDERED A SUBSTANTIAL DEVIATION/MODIFICATION OF THE PLAN. A SUBSTANTIAL DEVIATION/MODIFICATION WILL OCCUR WHEN A SIGNIFICANT AMENDMENT IS REQUIRED IN ACCORDANCE WITH THE DEFINITION OF SIGNIFICANT AMENDMENT ABOVE.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Attachment A

PHA Plan Elements. Section 6.0(a) (24 CFR 903.7)

Housing Authority IL001	Housing Authority of the City of East St. Louis	Fiscal Year Begin Date: 04/2011

	Plan Element	Comment
1.	Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.	See attached changes to Admissions and Continued Occupancy Policy Section XXXII. Fair Housing and Equal Opportunity and Section XXXIII. Assistance Animals.
2.	Financial Resources.	See attached Financial Resources: Planned Sources and Uses
3.	Rent Determination.	No Change.
4.	Operation and Management.	See attached Non-Smoking Policy for All Public Housing Units
5.	Grievance Procedures.	No Change.
6.	Designated Housing for Elderly and Disabled Families.	No Change.
7.	Community Service and Self-Sufficiency.	No Change.
8.	Safety and Crime Prevention.	No Change.
9.	Pets.	No Change.
10.	Civil Rights Certification.	No Change
11.	Fiscal Year Audit.	See attached Fiscal Year Audit Findings Summary from 2009.
12.	Asset Management.	No Change
13.	Violence Against Women Act (VAWA).	See Attachment CC to this Annual Plan.

PHA PLAN ELEMENTS SECTION 6.0(a)

**1. Eligibility, Selection and Admissions Policies
Including Deconcentration and Wait List Procedures**

SECTION XXXII. Fair Housing and Equal Opportunity

SECTION XXXII. FAIR HOUSING AND EQUAL OPPORTUNITY

INTRODUCTION

This section explains the laws and HUD regulations requiring PHAs to affirmatively further civil rights and fair housing in all federally-assisted housing programs. The letter and spirit of these laws are implemented through consistent policy and processes. The responsibility to further nondiscrimination pertains to all areas of the PHA's public housing operations.

This section describes HUD regulations and PHA policies related to these topics in three parts:

Part I: Nondiscrimination. This part presents the body of laws and regulations governing the responsibilities of the PHA regarding nondiscrimination.

Part II: Policies Related to Persons with Disabilities. This part discusses the rules and policies of the public housing program related to reasonable accommodation for persons with disabilities. These rules and policies are based on the Fair Housing Act (42.U.S.C.) and Section 504 of the Rehabilitation Act of 1973, and incorporate guidance from the Joint Statement of The Department of Housing and Urban Development and the Department of Justice (DOJ), issued May 17, 2004.

Part III: Prohibition of Discrimination Against Limited English Proficiency Persons. This part details the obligations of the PHA to ensure meaningful access to the public housing program and its activities by persons with limited English proficiency (LEP). This part incorporates HUD's Notice of Guidance to Federal Assistance Recipients Regarding Title VI Prohibition Affecting Limited English Proficient Persons, published December 19, 2003 in the *Federal Register* ("Notice of Guidance").

PART I: NONDISCRIMINATION

1. OVERVIEW

Federal laws require PHAs to treat all applicants and tenant families equally, providing the same quality of service, regardless of family characteristics and background. Federal law prohibits discrimination in housing on the basis of race, color, religion, sex, national origin, age, familial status, sexual orientation, and disability. The PHA will comply fully with all federal, state, and local nondiscrimination laws, and with rules and regulations governing fair housing and equal opportunity in housing and employment, including:

- Title VI of the Civil Rights Act of 1964
- Title VIII of the Civil Rights Act of 1968 (as amended by the Community Development Act of 1974 and the Fair Housing Amendments Act of 1988)
- Executive Order 11063
- Section 504 of the Rehabilitation Act of 1973
- The Age Discrimination Act of 1975
- Title II of the Americans with Disabilities Act (to the extent that it applies, otherwise Section 504 and the Fair Housing Amendments govern)
- Violence Against Women Reauthorization Act of 2005 (VAWA)
- Illinois Human Rights Act 775 ILCS5/
- Any applicable state laws or local ordinances and any legislation protecting individual rights of tenants, applicants, or staff that may subsequently be enacted

When more than one civil rights law applies to a situation, the laws will be read and applied together.

2. NONDISCRIMINATION

Federal regulations prohibit discrimination against certain protected classes. State and local requirements, as well as PHA policies, can prohibit discrimination against additional classes of people.

The PHA shall not discriminate because of race, color, sex, religion, familial status, age, disability or national origin (called “protected classes”).

Familial status includes children under the age of 18 living with parents or legal custodians, pregnant women, and people securing custody of children under the age of 18.

PHA Policy

The PHA will not discriminate on the basis of marital status or sexual orientation.

The PHA will not use any of these factors to:

- Deny to any family the opportunity to apply for housing, nor deny to any qualified applicant the opportunity to participate in the public housing program

- Provide housing that is different from that provided to others
- Subject anyone to segregation or disparate treatment
- Restrict anyone's access to any benefit enjoyed by others in connection with the housing program
- Treat a person differently in determining eligibility or other requirements for admission
- Steer an applicant or tenant toward or away from a particular area based on any of these factors
- Deny anyone access to the same level of services
- Deny anyone the opportunity to participate in a planning or advisory group that is an integral part of the housing program
- Discriminate in the provision of residential real estate transactions
- Discriminate against someone because they are related to or associated with a member of a protected class
- Publish or cause to be published an advertisement or notice indicating the availability of housing that prefers or excludes persons who are members of a protected class

Providing Information to Families

The PHA must take steps to ensure that families are fully aware of all applicable civil rights laws. As part of the public housing orientation process, the PHA will provide information to public housing applicant families about civil rights requirements.

Discrimination Complaints

If an applicant or tenant family believes that any family member has been discriminated against by the PHA, the family should advise the PHA. HUD requires the PHA to make every reasonable attempt to determine whether the applicant's or tenant family's assertions have merit and take any warranted corrective action.

PHA Policy

Applicants or tenant families who believe that they have been subject to unlawful discrimination may notify the PHA either orally or in writing.

The PHA will attempt to remedy discrimination complaints made against the PHA.

The PHA will provide a copy of a discrimination complaint form to the complainant and provide them with information on how to complete and submit the form to HUD's Office of Fair Housing and Equal Opportunity (FHEO).

PART II: POLICIES RELATED TO PERSONS WITH DISABILITIES

1. OVERVIEW

One type of disability discrimination prohibited by the Fair Housing Act is the refusal to make reasonable accommodation in rules, policies, practices, or services when such accommodation may be necessary to afford a person with a disability the equal opportunity to use and enjoy a program or dwelling under the program.

The PHA must ensure that persons with disabilities have full access to the PHA's programs and services. This responsibility begins with the first inquiry of an interested family and continues through every programmatic area of the public housing program [24 CFR 8].

The PHA must provide a notice to each tenant that the tenant may, at any time during the tenancy, request reasonable accommodation of a handicap of a household member, including reasonable accommodation so that the tenant can meet lease requirements or other requirements of tenancy [24 CFR 966.7(b)].

PHA Policy

The PHA will ask all applicants and resident families if they require any type of accommodations, in writing, on the intake application, reexamination documents, and notices of adverse action by the PHA, by including the following language:

“If you or anyone in your family is a person with disabilities, and you require a specific accommodation in order to fully utilize our programs and services, please contact the housing authority.”

A specific name and phone number will be indicated as the contact for requests for accommodation for persons with disabilities.

2. DEFINITION OF REASONABLE ACCOMMODATION

A “reasonable accommodation” is a change, exception, or adjustment to a policy, practice or service that may be necessary for a person with a disability to have an equal opportunity to use and enjoy a dwelling, including public and common use spaces. Since policies and services may have a different effect on persons with disabilities than on other persons, treating persons with disabilities exactly the same as others will sometimes deny them an equal opportunity to use and enjoy a dwelling. [Joint Statement of the Departments of HUD and Justice: Reasonable Accommodations under the Fair Housing Act]

Federal regulations stipulate that requests for accommodations will be considered reasonable if they do not create an "undue financial and administrative burden" for the PHA, or result in a “fundamental alteration” in the nature of the program or service offered. A fundamental alteration is a modification that alters the essential nature of a provider's operations.

Types of Reasonable Accommodations

When it is reasonable (see definition above and paragraph 5), the PHA shall accommodate the needs of a person with disabilities. Examples include but are not limited to:

- Permitting applications and reexaminations to be completed by mail

- Conducting home visits
- Permitting a higher utility allowance for the unit if a person with disabilities requires the use of specialized equipment related to the disability
- Modifying or altering a unit or physical system if such a modification or alteration is necessary to provide equal access to a person with a disability
- Installing a ramp into a dwelling or building
- Installing grab bars in a bathroom
- Installing visual fire alarms for hearing impaired persons
- Allowing a PHA-approved live-in aide to reside in the unit if that person is determined to be essential to the care of a person with disabilities, is not obligated for the support of the person with disabilities, and would not be otherwise living in the unit.
- Providing a designated handicapped-accessible parking space
- Allowing an assistance animal
- Permitting an authorized designee or advocate to participate in the application or certification process and any other meetings with PHA staff
- Displaying posters and other housing information in locations throughout the PHA's office in such a manner as to be easily readable from a wheelchair

3. REQUEST FOR AN ACCOMMODATION

If an applicant or participant indicates that an exception, change, or adjustment to a rule, policy, practice, or service is needed because of a disability, HUD requires that the PHA treat the information as a request for a reasonable accommodation, even if no formal request is made [Joint Statement of the Departments of HUD and Justice: Reasonable Accommodations under the Fair Housing Act].

The family must explain what type of accommodation is needed to provide the person with the disability full access to the PHA's programs and services.

If the need for the accommodation is not readily apparent or known to the PHA, the family must explain the relationship between the requested accommodation and the disability.

PHA Policy

The PHA will encourage the family to make its request in writing using a reasonable accommodation request form. However, the PHA will consider the accommodation any time the family indicates that an accommodation is needed whether or not a formal written request is submitted.

4. VERIFICATION OF DISABILITY

The regulatory civil rights definition for persons with disabilities is provided in Exhibit A at the

end of this section. The definition of a person with a disability for the purpose of obtaining a reasonable accommodation is much broader than the HUD definition of disability which is used for waiting list preferences and income allowances.

Before providing an accommodation, the PHA must determine that the person meets the definition of a person with a disability, and that the accommodation will enhance the family's access to the PHA's programs and services.

If a person's disability is obvious or otherwise known to the PHA, and if the need for the requested accommodation is also readily apparent or known, no further verification will be required [Joint Statement of the Departments of HUD and Justice: Reasonable Accommodations under the Fair Housing Act].

If a family indicates that an accommodation is required for a disability that is not obvious or otherwise known to the PHA, the PHA must verify that the person meets the definition of a person with a disability, and that the limitations imposed by the disability require the requested accommodation.

When verifying a disability, the PHA will follow the verification policies provided in Section IX. All information related to a person's disability will be treated in accordance with the existing confidentiality policies. In addition to the general requirements that govern all verification efforts, the following requirements apply when verifying a disability:

- Third-party verification must be obtained from an individual identified by the family who is competent to make the determination. A doctor or other medical professional, a peer support group, a non-medical service agency, or a reliable third party who is in a position to know about the individual's disability may provide verification of a disability [Joint Statement of the Departments of HUD and Justice: Reasonable Accommodations under the Fair Housing Act]
- The PHA must request only information that is necessary to evaluate the disability-related need for the accommodation. The PHA may not inquire about the nature or extent of any disability.
- Medical records will not be accepted or retained in the participant file.

5. APPROVAL/DENIAL OF A REQUESTED ACCOMMODATION [Joint Statement of the Departments of HUD and Justice: Reasonable Accommodations under the Fair Housing Act]

The PHA must approve a request for an accommodation if the following three conditions are met.

- The request was made by or on behalf of a person with a disability.
- There is a disability-related need for the accommodation.
- The requested accommodation is reasonable, meaning it would not impose an undue financial and administrative burden on the PHA, or fundamentally alter the nature of the PHA's operations.

Requests for accommodations must be assessed on a case-by-case basis. The determination of undue financial and administrative burden must be made on a case-by-case basis involving

various factors, such as the cost of the requested accommodation, the financial resources of the PHA at the time of the request, the benefits that the accommodation would provide to the family, and the availability of alternative accommodations that would effectively meet the family's disability-related needs.

Before making a determination whether to approve the request, the PHA may enter into discussion and negotiation with the family, request more information from the family, or may require the family to sign a consent form so that the PHA may verify the need for the requested accommodation.

PHA Policy

After a request for an accommodation is presented, the PHA will respond, in writing, within 10 business days.

If the PHA denies a request for an accommodation because there is no relationship, or nexus, found between the disability and the requested accommodation, the notice will inform the family of the right to appeal the PHA's decision through an informal hearing (if applicable) or the grievance process (see Section XXI).

If the PHA denies a request for an accommodation because it is not reasonable (it would impose an undue financial and administrative burden or fundamentally alter the nature of the PHA's operations), the PHA will discuss with the family whether an alternative accommodation could effectively address the family's disability-related needs without a fundamental alteration to the public housing program and without imposing an undue financial and administrative burden.

If the PHA believes that the family has failed to identify a reasonable alternative accommodation after interactive discussion and negotiation, the PHA will notify the family, in writing, of its determination within 10 business days from the date of the most recent discussion or communication with the family. The notice will inform the family of the right to appeal the PHA's decision through an informal hearing (if applicable) or the grievance process (see Section XXI).

6. PROGRAM ACCESSIBILITY FOR PERSONS WITH HEARING OR VISION IMPAIRMENTS

HUD regulations require the PHA to take reasonable steps to ensure that persons with disabilities related to hearing and vision have reasonable access to the PHA's programs and services [24 CFR 8.6].

At the initial point of contact with each applicant, the PHA shall inform all applicants of alternative forms of communication that can be used other than plain language paperwork.

PHA Policy

To meet the needs of persons with hearing impairments, TTD/TTY (text telephone display / teletype) communication will be available.

To meet the needs of persons with vision impairments, large-print and audio versions of key program documents will be made available upon request. When visual aids are used

in public meetings or presentations, or in meetings with PHA staff, one-on-one assistance will be provided upon request.

Additional examples of alternative forms of communication are sign language interpretation; having material explained orally by staff; or having a third party representative (a friend, relative or advocate, named by the applicant) to receive, interpret and explain housing materials and be present at all meetings.

7. PHYSICAL ACCESSIBILITY

The PHA must comply with a variety of regulations pertaining to physical accessibility, including the following.

- PIH 2002-01 (HA), Accessibility Notice
- Section 504 of the Rehabilitation Act of 1973
- The Americans with Disabilities Act of 1990
- The Architectural Barriers Act of 1968
- The Fair Housing Act of 1988

The PHA's policies concerning physical accessibility must be readily available to applicants and resident families. They can be found in three key documents.

- This policy, the Admissions and Continued Occupancy Policy, describes the key policies that govern the PHA's responsibilities with regard to physical accessibility.
- Notice PIH 2002-01(HA) Accessibility Notice (which must be posted in the public housing offices in a conspicuous place) summarizes information about pertinent laws and implementing regulations related to non-discrimination and accessibility in federally-funded housing programs.
- The PHA Plan provides information about self-evaluation, needs assessment, and transition plans.

The design, construction, or alteration of PHA facilities must conform to the Uniform Federal Accessibility Standards (UFAS). Newly-constructed facilities must be designed to be readily accessible to and usable by persons with disabilities. Alterations to existing facilities must be accessible to the maximum extent feasible, defined as not imposing an undue financial and administrative burden on the operations of the public housing program.

8 DENIAL OR TERMINATION OF ASSISTANCE

A PHA's decision to deny or terminate the assistance of a family that includes a person with disabilities is subject to consideration of reasonable accommodation [24 CFR 966.7].

When applicants with disabilities are denied assistance, the notice of denial must inform them of their right to request an informal hearing [24 CFR 960.208(a)].

When a family's lease is terminated, the notice of termination must inform the family of their right to request a hearing in accordance with the PHA's grievance process [24 CFR 966.4(l)(3)(ii)].

When reviewing reasonable accommodation requests, the PHA must consider whether reasonable accommodation will allow the family to overcome the problem that led to the PHA's decision to deny or terminate assistance. If a reasonable accommodation will allow the family to meet the requirements, the PHA must make the accommodation [24 CFR 966.7].

In addition, the PHA must provide reasonable accommodation for persons with disabilities to participate in the hearing process [24 CFR 966.56(h)].

PART III: IMPROVING ACCESS TO SERVICES FOR PERSONS WITH LIMITED ENGLISH PROFICIENCY (LEP)

1. OVERVIEW

Language for Limited English Proficiency Persons (LEP) can be a barrier to accessing important benefits or services, understanding and exercising important rights, complying with applicable responsibilities, or understanding other information provided by the public housing program. In certain circumstances, failure to ensure that LEP persons can effectively participate in or benefit from federally-assisted programs and activities may violate the prohibition under Title VI against discrimination on the basis of national origin. This part incorporates the Notice of Guidance to Federal Assistance Recipients Regarding Title VI Prohibition Affecting Limited English Proficient Persons, published December 19, 2003 in the *Federal Register*.

The PHA will take affirmative steps to communicate with people who need services or information in a language other than English. These persons will be referred to as Persons with Limited English Proficiency (LEP).

LEP persons are defined as persons who do not speak English as their primary language and who have a limited ability to read, write, speak or understand English. For the purposes of this Admissions and Continued Occupancy Policy, LEP persons are public housing applicants and resident families, and parents and family members of applicants and resident families.

In order to determine the level of access needed by LEP persons, the PHA will balance the following four factors: (1) the number or proportion of LEP persons eligible to be served or likely to be encountered by the public housing program; (2) the frequency with which LEP persons come into contact with the program; (3) the nature and importance of the program, activity, or service provided by the program to people's lives; and (4) the resources available to the PHA and costs. Balancing these four factors will ensure meaningful access by LEP persons to critical services while not imposing undue burdens on the PHA.

2. ORAL INTERPRETATION

In a courtroom, a hearing, or situations in which health, safety, or access to important benefits and services are at stake, the PHA will generally offer, or ensure that the family is offered through other sources, competent interpretation services free of charge to the LEP person.

PHA Policy

The PHA will analyze the various kinds of contacts it has with the public, to assess language needs and decide what reasonable steps should be taken. “Reasonable steps” may not be reasonable where the costs imposed substantially exceed the benefits.

Where feasible, the PHA will train and hire bilingual staff to be available to act as interpreters and translators, will pool resources with other PHAs, and will standardize documents. Where feasible and possible, the PHA will encourage the use of qualified community volunteers.

Where LEP persons desire, they will be permitted to use, at their own expense, an interpreter of their own choosing, in place of or as a supplement to the free language services offered by the PHA. The interpreter may be a family member or friend.

3. WRITTEN TRANSLATION

Translation is the replacement of a written text from one language into an equivalent written text in another language.

PHA Policy

In order to comply with written-translation obligations, the PHA will take the following steps:

The PHA will provide written translations of vital documents for each eligible LEP language group that constitutes 5 percent or 1,000 persons, whichever is less, of the population of persons eligible to be served or likely to be affected or encountered. Translation of other documents, if needed, can be provided orally; or

If there are fewer than 50 persons in a language group that reaches the 5 percent trigger, the PHA may not translate vital written materials, but will provide written notice in the primary language of the LEP language group of the right to receive competent oral interpretation of those written materials, free of cost.

4. IMPLEMENTATION PLAN

After completing the four-factor analysis and deciding what language assistance services are appropriate, the PHA shall determine whether it is necessary to develop a written implementation plan to address the identified needs of the LEP populations it serves.

If the PHA determines that it is not necessary to develop a written implementation plan, the absence of a written plan does not obviate the underlying obligation to ensure meaningful access by LEP persons to the PHA’s public housing program and services.

PHA Policy

If it is determined that the PHA serves very few LEP persons, and the PHA has very limited resources, the PHA will not develop a written LEP plan, but will consider alternative ways to articulate in a reasonable manner a plan for providing meaningful access. Entities having significant contact with LEP persons, such as schools, grassroots and faith-based organizations, community groups, and groups working with new

immigrants will be contacted for input into the process.

If the PHA determines it is appropriate to develop a written LEP plan, the following five steps will be taken: (1) Identifying LEP individuals who need language assistance; (2) identifying language assistance measures; (3) training staff; (4) providing notice to LEP persons; and (5) monitoring and updating the LEP plan.

EXHIBIT A: DEFINITION OF A PERSON WITH A DISABILITY UNDER FEDERAL CIVIL RIGHTS LAWS [24 CFR Parts 8.3 and 100.201]

A person with a disability, as defined under federal civil rights laws, is any person who:

- Has a physical or mental impairment that substantially limits one or more of the major life activities of an individual, or
- Has a record of such impairment, or
- Is regarded as having such impairment

The phrase “physical or mental impairment” includes:

- Any physiological disorder or condition, cosmetic or disfigurement, or anatomical loss affecting one or more of the following body systems: neurological; musculoskeletal; special sense organs; respiratory, including speech organs; cardiovascular; reproductive; digestive; genito-urinary; hemic and lymphatic; skin; and endocrine; or
- Any mental or psychological disorder, such as mental retardation, organic brain syndrome, emotional or mental illness, and specific learning disabilities. The term “physical or mental impairment” includes, but is not limited to: such diseases and conditions as orthopedic, visual, speech and hearing impairments, cerebral palsy, autism, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional illness, drug addiction and alcoholism.

“Major life activities” includes, but is not limited to, caring for oneself, performing manual tasks, walking, seeing, hearing, breathing, learning, and/or working.

“Has a record of such impairment” means has a history of, or has been misclassified as having, a mental or physical impairment that substantially limits one or more major live activities.

“Is regarded as having an impairment” is defined as having a physical or mental impairment that does not substantially limit one or more major life activities but is treated by a public entity (such as the PHA) as constituting such a limitation; has none of the impairments defined in this section but is treated by a public entity as having such an impairment; or has a physical or mental impairment that substantially limits one or more major life activities, only as a result of the attitudes of others toward that impairment.

The definition of a person with disabilities does not include:

- Current illegal drug users
- People whose alcohol use interferes with the rights of others
- Persons who objectively pose a direct threat or substantial risk of harm to others that cannot be controlled with a reasonable accommodation under the public housing program

The above definition of disability determines whether an applicant or participant is entitled to any of the protections of federal disability civil rights laws. Thus, a person who does not meet this definition of disability is not entitled to a reasonable accommodation under federal civil rights and fair housing laws and regulations.

The HUD definition of a person with a disability is much narrower than the civil rights definition of disability. The HUD definition of a person with a disability is used for purposes of receiving the disabled family preference, the \$400 elderly/disabled household deduction, the allowance for medical expenses, or the allowance for disability assistance expenses.

The definition of a person with a disability for purposes of granting a reasonable accommodation request is much broader than the HUD definition of disability. Many people will not qualify as a disabled person under the public housing program, yet an accommodation is needed to provide equal opportunity.

PHA PLAN ELEMENTS SECTION 6.0(a)

1. Eligibility, Selection and Admissions Policies Including Deconcentration and Wait List Procedures

SECTION XXXIII. Assistance Animals

SECTION XXXIII: ASSISTANCE ANIMALS

[Section 504; Fair Housing Act (42 U.S.C.); 24 CFR 5.303; 24 CFR 960.705]

1. OVERVIEW

This section discusses situations under which permission for an assistance animal may be denied, and also establishes standards for the care of assistance animals.

Assistance animals are animals that assist, support, or provide service to a person with a disability, or that provide emotional support that alleviates one or more identified symptoms or effects of a person's disability. Assistance animals – often referred to as “service animals,” “assistive animals,” “support animals,” or “therapy animals” – perform many disability-related functions, including but not limited to the following:

- Guiding individuals who are blind or have low vision
- Alerting individuals who are deaf or hearing impaired
- Providing minimal protection or rescue assistance
- Pulling a wheelchair
- Fetching items
- Alerting persons to impending seizures
- Providing emotional support to persons with disabilities who have a disability-related need for such support

Assistance animals that are needed as a reasonable accommodation for persons with disabilities are not considered pets, and thus, are not subject to the PHA's pet policies described in Section XXV of this ACOP [24 CFR 5.303; 960.705].

2. APPROVAL OF ASSISTANCE ANIMALS

A person with a disability is not automatically entitled to have an assistance animal. Reasonable accommodation requires that there is a relationship between the person's disability and his or her need for the animal [PH Occupancy Guide Book, p. 179].

A PHA may not refuse to allow a person with a disability to have an assistance animal merely because the animal does not have formal training. Some, but not all, animals that assist persons with disabilities are professionally trained. Other assistance animals are trained by the owners themselves and, in some cases, no special training is required. The question is whether or not the animal performs the assistance or provides the benefit needed by the person with the disability [PH Occupancy Guide Book GB, p. 178].

A PHA's refusal to permit persons with a disability to use and live with an assistance animal that is needed to assist them, would violate Section 504 of the Rehabilitation Act and the Fair Housing Act unless [PH Occupancy Guide Book, p. 179]:

- There is reliable objective evidence that the animal poses a direct threat to the health or safety of others that cannot be reduced or eliminated by a reasonable accommodation

- There is reliable objective evidence that the animal would cause substantial physical damage to the property of others

The PHA will not charge a deposit to the resident requiring an assistance animal. The resident is responsible for the assistance animal and may be charged for damages to the unit caused by the assistance animal beyond normal fair wear and tear.

PHAs have the authority to regulate assistance animals under applicable federal, state, and local law [24 CFR 5.303(b)(3); 960.705(b)(3)].

PHA Policy

For an animal to be excluded from the pet policy and be considered an assistance animal, there must be a person with disabilities in the household, and the family must request and the PHA approve a reasonable accommodation in accordance with the policies contained in Section XXXII.

PHA PLAN ELEMENTS SECTION 6.0(a)

2. Financial Resources

Financial Resources: Planned Sources and Uses

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants		
a) Public Housing Operating Fund	8,249,736.99	
b) Public Housing Capital Fund	3,402,199.00	
c) Family Self-Sufficiency Coord.	48,200.00	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	4,274,619.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	0	
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
Shelter Plus Care	120,767.78	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
2008	296,053.53	Capital Fund
2009	2,756,139.48	Capital Fund
2010	3,402,199.00	Capital Fund
3. Public Housing Dwelling Rental Income		
Public Housing Rents	2,736,146.60	
4. Other income (list below)		
Public Housing	208,516.69	
4. Non-federal sources (list below)		
Total resources	25,494,578.07*	

*All Financial Resource Data is as of 09/30/10.

PHA PLAN ELEMENTS SECTION 6.0(a)

4. Operation and Management

Non-Smoking Policy for All Public Housing Units

The Housing Authority of the City of East St. Louis East St. Louis, Illinois		Procedure #: ESLHA – HO Date: April 15, 2010 Rev. Date:
Title: Non-Smoking Policy for All Public Housing Units		Approvals: Department Dir: <u>Franessia Beard</u> Executive Dir: _____

1.0 PURPOSE

- 1.1 To comply with Notice PIH 2009-21 (HA) No-Smoking Policies in Public housing, the following Policy and Procedures are established. The implementation of this policy will assist in reducing the Migration of Environmental Tobacco between units in multifamily housing, which may cause respiratory illness, heart disease, cancer and other adverse health effects in neighboring families.
- 1.2 Reduce Maintenance Cost (Remove residual smell, eliminate discoloration to walls, repair burns to flooring, tubs, sinks).
- 1.3 Reduce Fire Risk. Fire damage can cause units to go off-line for months. Water and smoke damage to adjoining units can take them off-line, as well.

2.0 DEFINITIONS

- 2.1 ESLHA – East St. Louis Housing Authority
- 2.2 ETS – Environmental Tobacco Smoke
- 2.3 Grandfathered – Providing a reasonable period of time for current residents (moved in Public Housing prior to July 01, 2010) to abide by new policy. Timeframe: Effective date January 01, 2011.
- 2.4 Guest– Person temporarily staying in the unit with the consent of a tenant or other member of the household who has expressed, or implied authority to so consent on behalf of the tenant.
- 2.5 Smoking - Inhaling, exhaling, breathing, carrying, or possessing any lighted cigar, cigarette, pipe, other tobacco product or similar lighted product in any manner or in any form.

3.0 APPLICATION

- 3.1 The Non-Smoking policy will go into effect by stages:
 - Residents that move into any Public Housing units after July 01, 2010 must immediately abide by the Non Smoking Policy and sign the No Smoking Lease Addendum.
 - Current residents who moved into any Public Housing units prior to July 01, 2010 will be “grandfathered” in and abide by the Non Smoking Policy and sign the No Smoking Lease Addendum with an effective date of January 01, 2011.
 - This policy will also apply to visitors/guest of public housing residents, service personnel and employees.

4.0 Instructions/Responsibilities

4.1 Leasing Department

- 4.1.1 Will inform all new applicants that the Housing Authority is a Non Smoking environment.**
- 4.1.2 Applicants will be informed that smoking is not permitted in any interior common areas, hallways, laundry rooms, stairways, offices, elevators, community bathrooms, lobbies, community rooms and within all living units, and within 15 feet of building including entry ways, porches, balconies and patios.**

4.2 Management Department

- 4.2.1 Will ensure that No Smoking signs have been posted at all developments, offices, and community rooms and lobbies.**
- 4.2.2 Notices will be sent to all residents informing them about the Non Smoking policy prior to July 01, 2010.**
- 4.2.3 New residents must immediately sign the No Smoking Lease Addendum and inform them that after July 01, 2010 they are prohibited from smoking in any interior common areas, including but not limited to community rooms, community bathrooms, lobbies, hallways laundry rooms, stairways, offices and elevators, within all living units, and within 15 feet of building(s) including entry ways, porches, balconies and patios.**
- 4.2.4 New residents will also be informed that this policy applies to their guests, visitors, Service personnel and employees.**
- 4.2.5 Current residents will be "grandfathered" in through the new Non Smoking policy.**
- 4.2.6 Between July 01, 2010 and December 31, 2010 all current residents must sign the No Smoking Lease Addendum.**
- 4.2.7 The signing of the No Smoking Lease Addendum for current residents may be done at time of re-examination or through a scheduled time with management as long as it is prior to January 01, 2011.**
- 4.2.8 Current residents will be informed that after January 01, 2011 they are prohibited from smoking in any interior common areas, including but not limited to community rooms, community bathrooms, lobbies, hallways, laundry rooms, stairways, offices and elevator, within 15 feet of buildings(s) including entry ways, porches, balconies and patios.**

4.2.9 Current residents will be informed that this policy applies to all residents, guests, visitors, service personnel and employees.

5.0 Case Manager

5.1.1 Will provide residents with brochures and information pertaining to How To Stop Smoking and the effects of smoking.

5.1.2 Will complete referrals to various agencies for residents who may need assistance.

NO SMOKING LEASE ADDENDUM

Date _____ Development _____ Unit # _____

Head Of Household: _____

Resident Address: _____

Date of Move-In _____ Effective Date _____

**THE FOLLOWING TERMS, CONDITIONS AND RULES ARE HEREBY
INCORPORATED INTO THE LEASE FOR THE ABOVE UNIT EFFECTIVE JULY 1,
2010 FOR NEW MOVE-INS AND JANUARY 1, 2011 FOR CURRENT RESIDENTS.**

1. **No Smoking Policy** – Due to the increased risk of fire, increased maintenance costs, and the health effects of secondhand smoke, the East St. Louis Housing Authority is adopting the following No-Smoking Policy, which prohibits smoking in any interior common areas, including but not limited to community rooms, community bathrooms, lobbies, hallways, laundry rooms, stairways, offices and elevator, within all living units, and within 15 feet of building(s) including entry ways, porches, balconies and patios. This policy applies to all residents, guests, visitors, service personnel and employees.
2. **Definition** – The term “smoking” means inhaling, exhaling, breathing, carrying, or possessing any lighted cigar, cigarette, pipe, other tobacco product or similar lighted product in any manner or in any form.
3. **The East St. Louis Housing Authority is not a guarantor of smoke free Environment** – Resident acknowledges that the East St. Louis Housing Authority’s adoption of a No-Smoking policy, will not make the East St. Louis Housing Authority the guarantor of Resident’s health or of the smoke free condition of the non-smoking portions of the property. However, The East St. Louis Housing Authority will take reasonable steps to enforce the No-Smoking Policy. The East St. Louis Housing Authority is not required to take steps in response to smoking unless the East St. Louis Housing Authority has actual knowledge of the smoking and the identity of the responsible resident.

4. **East St. Louis Housing Authority Disclaimer – Resident acknowledges that the East St. Louis Housing Authority’s adoption of a non-smoking living environment, does not in any way change the standard of care that the East Louis Housing Authority has under applicable law to render the property any safer, more habitable or improved in terms of air quality standards than any other rental premises. The East St. Louis Housing Authority specifically disclaims any implied or express warranties that the property will have any higher or improved air quality standards than any other rental property. The East St. Louis Housing Authority cannot and does not warranty or promise that the property will be free from secondhand smoke. Resident acknowledges that the East St. Louis Housing Authority’s ability to police, monitor or enforce this Addendum is dependent in significant part on voluntary compliance by Residents and Resident’s guests. Residents with respiratory ailments, allergies or other condition relating to smoke are put on notice that the East St. Louis Housing Authority does not assume any higher duty of care to enforce this Addendum than any other East St. Louis Housing Authority obligation under the rental agreement.**
5. **Lease violation – Residents are responsible for the actions of their household, their guests and visitors. Failure to adhere to any conditions of this Addendum will constitute both a material non-compliance with the lease agreement and a serious violation of the Lease Agreement. In addition, resident will be responsible for all costs to remove smoke odor or residue upon any violation of this Addendum.**

Signature, Head of Household

Date

Other member of Household

Date

Other member of Household

Date

Other Member of Household

Date

Signature, Management Staff

Date

April 2010-fb

**NOTICE OF CONCERN
POSSIBLE "NO SMOKING" ADDENDUM
VIOLATION**

This is a courtesy notice of an unconfirmed lease violation and/or an apparent minor first time violation. This is not a lease termination notice. If the East St. Louis Housing Authority elects to serve an eviction notice for the violations discussed here, you will be served a lease termination notice.

Date:

Resident Name:

Address:

This is a courtesy notice to inform you that it has come to the attention of your property manager that you may be in violation of the No Smoking Addendum in the following way(s):

The East St. Louis Housing Authority staff was informed that on _____ at approximately _____ you were ____ (smoking in the unit) ____ (smoking in the common areas), or ____ (smoking within 15 feet of the building). This is a serious lease violation of the No Smoking Addendum.

If you violate the No Smoking Addendum again, it will result in a NOTICE OF FOR CAUSE.

If you have questions about this notice, and would like to discuss it, please contact your property manager at (618)_____.

At this time, no formal action is being taken by the East St. Louis Housing Authority beyond this courtesy notice. We do stress, however, the importance of refraining from smoking in your unit, the common areas, and within 15 feet of the building. Failure to do so may be treated as a violation of material terms of your lease and may lead to termination of your lease.

Thank you,

Property Manager

April 2010-fb

PHA PLAN ELEMENTS SECTION 6.0(a)

11. Fiscal Year Audit

Year Ending March 31, 2009

Fiscal Year Audit

Year Ending March 31, 2009

Yeager & Boyd, LLC, Auditors conducted an annual financial audit of the Authority's records for the period ending March 31, 2009. The Audit Report noted the following finding:

Finding 09-01 Control and Review of Certain General Ledger Accounts. This finding has been updated from last year and restated as finding 09-01. Depreciation expense as shown on the depreciation schedule at the end of the year did not agree with the general ledger for the Low Rent Program. Capital assets had not been properly allocated to individual Asset Management Projects. Recommendation was that a review of the general ledger be performed on a regular basis to analyze the reasonableness and completeness of the financial data and that the authority implement procedures to reconcile the general ledger accounts with the depreciation schedule.

STATUS: CLOSED. The Housing Authority has implemented corrective procedures and this finding was closed May 6, 2011.

Finding 09-02 Tenant File Deficiencies. The Housing Authority failed to meet HUD regulations for maintenance of Low Rent Public Housing tenant files. A review of 40 Public Housing files were reviewed. Of the 40 files 5 were missing 50058s for the time period requested. No supporting documentation for the five was contained in the files. Fifteen files had missing, incomplete or conflicting third party verification of income.

STATUS: CLOSED. The Housing Authority has implemented a standard filing system and files are periodically reviewed to determine compliance with HUD policies and Housing Authority guidelines. This finding was closed on May 6, 2011.

Finding 09-03 Housing Quality Standards. The Housing Authority failed to meet HUD regulation criteria for housing quality standards. In an audit by the HUD Office of Inspector General (OIG) 79% of the Housing Choice Voucher supported units inspected materially failed to meet HUD's housing quality standards.

STATUS CLOSED. The Housing Authority has had conducted a comprehensive inspection of all Housing Choice Voucher supported living units. Corrective action has been taken of all discrepancies found. Housing quality standards inspection training has been conducted for all Section 8 staff who conduct unit inspections. This finding was closed on May 6, 2011.

Finding 09-04 Tier II Onsite Review by HUD. Staff from the HUD Illinois State Office of Public Housing performed a Tier II Review and identified the following discrepancies:

A. The Housing Authority failed to meet HUD regulations for maintenance of Low Rent Public Housing and Housing Choice Voucher tenant files. There were errors or missing documentation in 35 of 51 tenant files reviewed.

B. The Housing Authority failed to comply with proper Housing Choice Voucher waiting list policies and procedures. Eight applicants were removed from the waiting list and later placed back on the waiting list. There was no documentation to support these actions.

C. The Housing Authority did not update its utility allowance schedule.

D. The Housing Authority's control log to track quality control inspections had insufficient information in the control log to show that the quality control system complies with requirements.

E. One of ten tenant files reviewed needed evidence that the HAP contract was signed and dated after the unit passed housing quality inspections.

F. The Housing Authority did not have a fully executed General Depository Agreement.

STATUS CLOSED. The Housing Authority has conducted corrective reviews and implemented procedures to correct the above identified problems. This finding was closed on May 6, 2011.

Finding 09-05 HUD OIG Capacity Review. The OIG conducted the review and found weaknesses that could adversely affect the Authority's capacity to administer its Recovery Act funding. Based on a limited review, the OIG found the Housing Authority did not:

A. Establish a risk assessment process.

B. Document adequate monitoring of capital fund activities

C. Maintain complete contract files.

STATUS CLOSED. The Housing Authority has prepared and implemented a new Risk Assessment Policy. Additionally, the Housing Authority has corrected identified documentation problems and corrected identified contract file problems. This finding was closed on May 6, 2011.

FY 2011 ANNUAL PLAN

ATTACHMENT B
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-04

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-04 Date of CFFP:		FFY of Grant: 2004 FFY of Grant Approval: 2004	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised²	Obligated	Total Actual Cost¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	206,547		206,547	54,540.05

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2004		
PHA Name: Housing Authority of the City of East St. Louis		Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-04 Date of CFPP:		FFY of Grant Approval: 2004		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant: (sum of lines 2 - 19)		206,547		206,547	54,540.05
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director		Date		Signature of Public Housing Director		Date
<i>[Signature]</i>		1/2/11				

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFPP Grants for operations.
⁴ RHP funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT C

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-04

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2004 FFY of Grant Approval: 2004	
PHA Name: Housing Authority of the City of East St. Louis		Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-04 Date of CFFP:			
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010					
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost ¹
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	274,165			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-04 Date of CFFP:			FFY of Grant:2004 FFY of Grant Approval: 2004	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	274,165			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>[Signature]</i>		Date 1/5/11		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT D
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-05

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2005	
PHA Name: Housing Authority of the City of East St. Louis		Capital Fund Program Grant No: IL06R001501-05		FFY of Grant Approval: 2005	
Type of Grant		Reserve for Disasters/Emergencies			
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised ¹	Obligated	Total Actual Cost ¹
					Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	131,519		131,519	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2005 FFY of Grant Approval: 2005	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-05 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Type of Grant		Total Estimated Cost	Total Actual Cost ¹
Line	Summary by Development Account	Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	131,519	131,519
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>[Signature]</i>		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9) of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT E

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-05

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-05 Date of CFFP:		FFY of Grant: 2005 FFY of Grant Approval: 2005	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
Summary by Development Account		Total Estimated Cost		Total Actual Cost¹	
Line		Original	Revised²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	137,191			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary							
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-05 Date of CFFP:	FFY of Grant:2005 FFY of Grant Approval: 2005					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised ²	Obligated	Total Actual Cost ¹		
					Expended		
18a	1501 Collateralization or Debt Service paid by the PHA						
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant:: (sum of lines 2 - 19)	137,191					
21	Amount of line 20 Related to LBP Activities						
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director <i>[Signature]</i>		Date 1/5/11		Signature of Public Housing Director		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 91 of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

**ATTACHMENT F
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-06**

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-06 Date of CFFP:		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PILA Name: Housing Authority of the City of East St. Louis					
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ¹	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	167,748		167,748	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-06 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised ²
		Total Estimated Cost	
		Obligated	Expended
		Total Actual Cost ¹	
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	167,748	167,748
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT G

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-06

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-06 Date of CFFP:		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PHA Name: Housing Authority of the City of East St. Louis					
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant	Summary by Development Account	Original	Total Estimated Cost Revised²	Obligated	Total Actual Cost¹ Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	148,219			

¹ To be completed for the Performance and Evaluation Report.


² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHJF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2006	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-06 Date of CFFP:	FFY of Grant Approval: 2006	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	148,219	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director 		Signature of Public Housing Director Date: 4/5/11	
		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

**ATTACHMENT H
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-503-06**

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: 11.06R001503-06 Replacement Housing Factor Grant No: 11.06R001503-06 Date of CFPP:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated Expended
1	Total non-CFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ²		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs	4,956	
17	1499 Development Activities ⁴		4,956

¹ To be completed for the Performance and Evaluation Report.


² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary					
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001503-06 Date of CFFP:			FFY of Grant:2006 FFY of Grant Approval: 2006	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Type of Grant					
<input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Reserve for Disasters/Emergencies					
Line	Summary by Development Account	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	4,956		4,956	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 1/5/11		Signature of Public Housing Director 	
				Date 	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 91 of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT I

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-504-06

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001504-06 Date of CFFP:		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost ¹
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ²				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	4,379			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2006 FFY of Grant Approval: 2006	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001504-06 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010			
Type of Grant		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	4,379	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Date	Signature of Public Housing Director
<i>[Signature]</i>		1/5/11	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9) of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT J

CAPITAL FUND PROGRAM IL-06-P001-501-07

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 Replacement Housing Factor Grant No: Date of CFPP:		FFY of Grant: 2007 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis					
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/2/2011					
Type of Grant		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:7) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised²	Obligated	Total Actual Cost¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$316,596.00	\$316,596.00	\$316,596.00	\$316,596.00
3	1408 Management Improvements	\$792,751.70	\$698,217.52	\$698,217.52	\$698,217.52
4	1410 Administration (may not exceed 10% of line 21)	\$349,714.00	\$349,714.00	\$349,714.00	\$349,714.00
5	1411 Audit	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00
6	1415 Liquidated Damages	\$0.00	\$0.00		
7	1430 Fees and Costs	\$182,776.55	\$182,776.55	\$182,776.55	\$182,776.55
8	1440 Site Acquisition	\$0.00	\$0.00		
9	1450 Site Improvement	\$7,211.00	\$7,211.00	\$7,211.00	\$7,211.00
10	1460 Dwelling Structures	\$1,730,761.60	\$1,825,295.78	\$1,825,295.78	\$1,825,295.78
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00		
12	1470 Non-dwelling Structures	\$0.00	\$0.00		
13	1475 Non-dwelling Equipment	\$208,931.25	\$208,931.25	\$208,931.25	\$208,931.25
14	1485 Demolition	\$104,547.00	\$104,547.00	\$104,547.00	\$104,547.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00		
16	1495.1 Relocation Costs	\$1,943.90	\$1,943.90	\$1,943.90	\$1,943.90
17	1499 Development Activities ⁴	\$0.00	\$0.00		

¹ To be completed for the Performance and Evaluation Report.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/2/2011		<input type="checkbox"/> Revised Annual Statement (revision no: 7) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant	<input type="checkbox"/> Reserve for Disasters/Emergencies		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated
		Revised²	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,700,233.00	\$3,700,233.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs	\$333,968.00	\$334,123.00
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	

[Signature] 7/29/2011

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⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA-Wide	Operations	1406		\$316,596.00	\$316,596.00	\$316,596.00	\$316,596.00	
	Management Improvements:							
HA-wide	Resident Services Staff	1408		\$0.00	\$0.00			
IL1-071/072	Security Guard Services	140801		\$106,895.75	\$106,895.75	\$106,895.75	\$106,895.75	
IL1-008	Security Guard Services	140801		\$166,215.82	\$166,215.82	\$166,215.82	\$166,215.82	
IL1-009	Security Guard Services	140801		\$60,856.43	\$60,856.43	\$60,856.43	\$60,856.43	
IL-004	Security Guard Services	140801		\$120.00	\$120.00	\$120.00	\$120.00	
HA-Wide	Security Guard Services	140801		\$35.00	\$35.00	\$35.00	\$35.00	
HA-Wide	Asset Management Consultant	140802		\$75,708.00	\$75,708.00	\$75,708.00	\$75,708.00	
HA-Wide	Resident Training	140803		\$6,661.00	\$6,661.11	\$6,661.11	\$6,661.11	
HA-Wide	Resident Services Programs	140805		\$4,939.59	\$4,939.59	\$4,939.59	\$4,939.59	
HA-Wide	Computer Software Upgrade	140810		\$270,276.82	\$270,276.82	\$270,276.82	\$270,276.82	
HA-Wide	Staff Training	140811		\$6,509.00	\$6,509.00	\$6,509.00	\$6,509.00	
HA-Wide	Server	1408		\$94,534.18	\$0			
	TOTAL	1408		\$792,751.70	\$698,217.52	\$698,217.52	\$698,217.52	
HA-Wide	Administration fee	141000		\$326,496.00	\$326,496.00	\$326,496.00	\$326,496.00	
HA-Wide	Administrative Staff Salaries	141001		\$0.00	\$0.00			

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages							
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
HA-Wide	Sundry	141019		\$23,218.00	\$23,218.00	\$23,218.00	\$23,218.00
	TOTAL	1410		\$349,714.00	\$349,714.00	\$349,714.00	\$349,714.00
	Audit						
		1411		\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00
	A & E Fees / Inspection Costs:						
HA - Wide	A & E Fees	143001		\$130,408.78	\$130,408.78	\$130,408.78	\$130,408.78
AMP 4	Consultant Fees	143002		\$0.00	\$600.00	\$600	\$600
HA- Wide	Construction Inspection Salaries	143007		\$51,767.77	\$51,767.77	\$51,767.77	\$51,767.77
AMP 2	Inspection Cost	143007		\$0.00	\$0.00		
	TOTAL	1430		\$182,776.55	\$182,776.55	\$182,776.55	\$182,776.55
IL1-5	Correct all site lighting issues	145001		\$1,956.00	\$1,956.00	\$1,956.00	\$1,956.00
IL1-5	UG Site Utilities	145002		\$5,255.00	\$5,255.00	\$5,255.00	\$5,255.00
	TOTAL	1450		\$7,211.00	\$7,211.00	\$7,211.00	\$7,211.00
AMP 1	Dwelling Structures	146000		\$54.02	\$54.02	\$54.02	\$54.02
AMP 1	Kitchen Cabinets	146008		\$326.20	\$326.20	\$326.20	\$326.20
AMP 4	Replace Kitchen Cabinets	146008		\$611.52	\$611.52	\$611.52	\$611.52

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages							
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 CFPP (Yes/ No): Replacement Housing Factor Grant No:		Federal FY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ² Funds Expended ²	
Amp 1	Replace Guttering & Downspouts	146004		\$28,553.30	\$28,553.30	\$28,553.30	Complete
Amp 1	Turnover Units	146024		\$36,533.37	\$36,533.37	\$36,533.37	Complete
Amp 1	Termite/Pest Control	146032		\$45,190.00	\$35,880.00	\$35,880.00	Complete
Amp 2	Replace Roof	146013		\$2,531.92	\$2,531.92	\$2,531.92	Complete
Amp 2	Turnover Units	146024		\$15,077.82	\$15,077.82	\$15,077.82	Complete
Amp 2	Replace Kitchen Cabinets	146008		\$121,175.93	\$121,175.93	\$121,175.93	Complete
Amp 2	Replace Siding	146004		\$323,635.50	\$314,510.50	\$314,510.50	Complete
Amp 2	Termite/Pest Control	146032		\$20,980.00	\$26,132.00	\$26,132.00	Complete
Amp 3	Replace Roof	146013		\$118,042.93	\$118,042.93	\$118,042.93	Complete
Amp 3	Siding Replacement	146004		\$41,830.32	\$41,830.32	\$41,830.32	Complete
Amp 3	Turnover Units	146024		\$135,370.90	\$135,370.90	\$135,370.90	Complete
Amp 3	Replace Kitchen Cabinets	146008		\$3,117.15	\$1,719.43	\$1,719.43	Complete
Amp 3	Termite/Pest Control	146032		\$12,420.00	\$21,277.00	\$21,277.00	Complete
Amp 4	Turnover Units	146024		\$15,254.51	\$15,254.51	\$15,254.51	Complete
Amp 4	A/C Unit Guards	146017		\$3,400.00	\$3,400.00	\$3,400.00	Complete
Amp 4	Replace Roof	146013		\$276.60	\$276.60	\$276.60	Complete
Amp 4	Rehab Interior Units	1460		\$0.00	\$102,620.43	\$102,620.43	In progress
Amp 5	Turnover Units	146024		\$155,368.64	\$155,368.64	\$155,368.64	Complete
Amp 5	Replace Kitchen Cabinets	146008		\$6,884.81	\$6,884.81	\$6,884.81	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages				Federal FFY of Grant: 2007			
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
Amp 6	Asbestos Floor Tile Removal	146006		\$5,730.00	\$5,730.00	\$5,730.00	\$5,730.00
Amp 6	Turnover Units	146024		\$123,186.22	\$123,186.22	\$123,186.22	\$123,186.22
Amp 6	Replace Kitchen Cabinets	146008		\$76,692.63	\$76,692.63	\$76,692.63	\$76,692.63
Amp 6	Shower Enclosures	146026		\$43,945.68	\$43,623.96	\$43,623.96	\$43,623.96
Amp 6	A/C Unit Guards	146017		\$1,275.00	\$1,275.00	\$1,275.00	\$1,275.00
Amp 7	Replace Roof	146013		\$10,446.27	\$10,446.27	\$10,446.27	\$10,446.27
Amp 7	Turnover Units	146024		\$283,812.20	\$283,812.20	\$283,812.20	\$283,812.20
Amp 7	Replace Kitchen Cabinets	146008		\$50,074.58	\$50,074.58	\$50,074.58	\$50,074.58
Amp 7	A/C Unit Guards	146017		\$3,400	\$3,400	\$3,400	\$3,400
Amp 4	Replace Windows	146019		\$34,801.81	\$0.00		
Amp 8	Turnover Unit	146024		\$10,398.33	\$10,398.33	\$10,398.33	\$10,398.33
Amp 3	Force Acct.	146005		\$82.53	\$82.53	\$82.53	\$82.53
Amp 2	Dwelling Structures	146000		\$43.22	\$43.22	\$43.22	\$43.22
Amp 3	Dwelling Structures	146000		\$237.69	\$237.69	\$237.69	\$237.69
Amp 5	Asbestos Floor Tile Removal	146006		\$0.00	\$2,494.00	\$2,494.00	\$2,494.00
Amp 1	Thresholds	146013		\$0.00	\$3,255.00	\$3,255.00	\$3,255.00
Amp 4	Termite Pest Control	146032		\$0.00	\$2,938.00	\$2,938.00	\$2,938.00
Amp 5	Termite Pest Control	146032		\$0.00	\$6,017.00	\$6,017.00	\$6,017.00
Amp 6	Termite Pest Control	146032		\$0.00	\$11,850.00	\$11,850.00	\$11,850.00

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-07 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
Amp 7	Termite Pest Control	146032		\$0.00	\$6,307.00	\$6,307.00	\$6,307.00	Complete	
	TOTAL	1460		\$1,730,781.60	\$1,825,295.78	\$1,825,295.78	\$1,825,295.78		
HA-Wide	Purchase computer hardware	147510		\$172,829.69	\$172,829.69	\$172,829.69	\$172,829.69	Complete	
Amp 6	Fire Panels	1475		\$17,135.68	\$17,135.68	\$17,135.68	\$17,135.68	Complete	
Amp 7	Purchase Furniture	147508		\$3,737.44	\$3,737.44	\$3,737.44	\$3,737.44	Complete	
Amp 2	Purchase Furniture	147508		\$1,266.01	\$1,266.01	\$1,266.01	\$1,266.01	Complete	
Amp 3	Purchase Furniture	147508		\$7,810.70	\$7,810.70	\$7,810.70	\$7,810.70	Complete	
Amp 6	Purchase Furniture	147508		\$323.44	\$323.44	\$323.44	\$323.44	Complete	
HA-Wide	Purchase Furniture	147508		\$5,758.32	\$5,758.32	\$5,758.32	\$5,758.32	Complete	
Amp 7	Nondwell Equip-Maint Equip	147502		\$69.97	\$69.97	\$69.97	\$69.97	Complete	
	TOTAL	1475		\$208,931.25	\$208,931.25	\$208,931.25	\$208,931.25		
IL1-11	Demolition of 36 units	148501		\$77,549.00	\$77,549.00	\$77,549.00	\$77,549.00	Complete	
IL1-03	Demolition	148501		\$26,998.00	\$26,998.00	\$26,998.00	\$26,998.00	Complete	
		1485		\$104,547.00	\$104,547.00	\$104,547.00	\$104,547.00		
Amp 4	Relocation	149500		\$1,943.90	\$1,943.90	\$1,943.90	\$1,943.90	Complete	
	Grand Total			\$3,700,233	\$3,700,233	\$3,700,233	\$3,700,233		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

[illegible]

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT K

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-07

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-07 Date of CFFP:		FFY of Grant: 2007 FFY of Grant Approval: 2007
PHA Name: Housing Authority of the City of East St. Louis				
Type of Grant	<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010			
	<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised ²	Total Actual Cost ¹ Obligated Expended
1	Total non-CFFP Funds			
2	1406 Operations (may not exceed 20% of line 21) ³			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities ⁴	175,507		175,507

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-07 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010			
Type of Grant		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
18a	1501 Collateralization or Debt Service paid by the PI/A		Obligated
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	175,507	175,507
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RIJF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9i of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT L
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-07

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-07 Date of CFFP:	FFY of Grant: 2007 FFY of Grant Approval: 2007
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010			
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ² Obligated Total Actual Cost ¹ Expended
1	Total non-CFFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	117,928	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2007 FFY of Grant Approval: 2007	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-07 Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010			
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised ²
		Total Estimated Cost	
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	117,928	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director: <i>[Signature]</i>		Signature of Public Housing Director	
Date: 11/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

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FY 2011 ANNUAL PLAN

<p>ATTACHMENT M CAPITAL FUND PROGRAM IL-06-P001-501-08</p>
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: IL06FP001501-08 Replacement Housing Factor Grant No: Date of CFP:		FFY of Grant: 2008 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$342,294.00	\$342,294.00	\$342,294.00	\$342,294.00
3	1408 Management Improvements	\$413,384.00	\$179,928.70	\$179,928.70	\$46,944.17
4	1410 Administration (may not exceed 10% of line 21)	\$342,294.00	\$342,294.00	\$342,294.00	\$342,294.00
5	1411 Audit	\$0	\$5,000	\$5,000	\$5,000
6	1415 Liquidated Damages	\$0	\$0		
7	1430 Fees and Costs	\$420,623.00	\$486,676.08	\$486,676.08	\$186,604.49
8	1440 Site Acquisition	\$0	\$0		
9	1450 Site Improvement	\$487,218.00	\$547,016.97	\$314,192.67	\$180,757.25
10	1460 Dwelling Structures	\$1,277,979	\$1,025,943.63	\$1,025,943.63	\$182,499.18
11	1465.1 Dwelling Equipment—Nonexpendable	\$45,500	\$84,417.06	\$72,417.06	\$72,417.06
12	1470 Non-dwelling Structures	\$87,300	\$76,850	\$76,850	\$74,650
13	1475 Non-dwelling Equipment	\$6,343.00	\$282,514.56	\$282,514.56	\$4,412.90
14	1485 Demolition	\$0	\$50,000		
15	1492 Moving to Work Demonstration	\$0	\$0		
16	1495.1 Relocation Costs	\$0	\$0		
17	1499 Development Activities ⁴	\$0	\$0		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHP funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008 FFY of Grant Approval:	
PIA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 Replacement Housing Factor Grant No: Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,422,935	\$3,128,110.70
21	Amount of line 20 Related to LBP Activities		\$1,437,873.05
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs	\$384,000	\$81,016.97
24	Amount of line 20 Related to Security - Hard Costs		\$41,895.47
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>[Signature]</i>		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHP funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFEP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
HA-Wide	Operations:	1406		\$342,294	\$342,294	\$342,294	\$342,294	Completed	
AMP GROUP 1	Resident Service Staff Salary Benefits	1408		0	0	0	0		
AMP GROUP 1	Resident Training	1408		0	0	0	0		
AMP GROUP 1	Management Staff Training	1408		\$4,000	\$876	\$876	\$876	Completed	
AMP GROUP 2	Security Guard	1408		0	0	0	0		
AMP GROUP 2	Resident Service Staff Salary & Benefits	1408		0	0	0	0		
AMP GROUP 2	Resident Training	1408		0	0	0	0		
AMP GROUP 2	Management Staff Training Cert	1408		\$4,000	\$507	\$507	\$507	Completed	
AMP GROUP 3	Security Guard	1408		0	0	0	0		
AMP GROUP 3	Resident Service Staff Salary & Benefits	1408		0	0	0	0		
AMP GROUP 3	Resident Training	1408		0	0	0	0		
AMP GROUP 3	Asset Management Staff Training	1408		\$4,000	\$176	\$176	\$176	Completed	
AMP GROUP 4	Security Guard	1408		\$1,884	\$1,883.70	\$1,883.70	\$1,883.70	Completed	
AMP GROUP 4	Project Based Accounting Training	1408		\$4,500	\$176	\$176	\$176	Completed	
AMP GROUP 4	Resident Service Staff Salary & Benefits	1408		0	0	0	0		
AMP GROUP 4	Resident Training	1408		0	0	0	0		
AMP GROUP 5	Security Guard	1408		\$120,000	\$16,860	\$16,860	\$16,860	In Progress	
AMP GROUP 5	Resident Service Staff Salary & Benefits	1408		0	0	0	0		

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages				Federal FFY of Grant: 2008				
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 5	Resident Training	1408		0	0	0	0	
AMP GROUP 5	Management Staff Training	1408		\$3,000	\$507	\$507	\$507	Completed
AMP GROUP 6	Security Guard	1408		\$264,000	\$64,156.97	\$64,156.97	\$25,035.47	In Progress
AMP GROUP 6	Resident Service Staff Salary & Benefits	1408		0	0	0	0	
AMP GROUP 6	Resident Training	1408		0	0	0	0	
AMP GROUP 6	Management Staff Training	1408		\$4,000	\$507	\$507	\$507	Completed
AMP GROUP 7	Resident Service Staff Salary & Benefits	1408		0	0	0	0	
AMP GROUP 7	Resident Training	1408		0	0	0	0	
AMP GROUP 7	Asset Management & PIC Training	1408		\$4,000	\$176	\$176	\$176	Completed
AMP GROUP 8	Resident Service Staff Salary & Benefits	1408		0	0	0	0	
AMP GROUP 8	Resident Training	1408		0	0	0	0	
HA-Wide	Computer Mgt Info	1408		0	\$94,103.03	\$94,103.03	\$240	In Progress
	Total	1408		\$413,384	\$179,928.70	\$179,928.70	\$46,944.17	
AMP GROUP 1	Capital Fund Management Fees	1410		\$40,694	\$40,694	\$40,694	\$40,694	Completed
AMP GROUP 2	Capital Fund Management Fees	1410		\$57,900	\$57,900	\$57,900	\$57,900	Completed
AMP GROUP 3	Capital Fund Management Fees	1410		\$51,500	\$51,500	\$51,500	\$51,500	Completed
AMP GROUP 4	Capital Fund Management Fees	1410		\$41,400	\$41,400	\$41,400	\$41,400	Completed
AMP GROUP 5	Capital Fund Management Fees	1410		\$34,000	\$34,000	\$34,000	\$34,000	Completed

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages							
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFPP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP GROUP 6	Capital Fund Management Fees	1410		\$61,600	\$61,600	\$61,600	\$61,600
AMP GROUP 7	Capital Fund Management Fees	1410		\$41,400	\$41,400	\$41,400	\$41,400
AMP GROUP 8	Capital Fund Management Fees	1410		\$13,800	\$13,800	\$13,800	\$13,800
	Total	1410		\$342,294	\$342,294	\$342,294	\$342,294
	Audit	1411		0	\$5,000	\$5,000	\$5,000
	Inspection/ A&E Fees						
AMP GROUP 1	Construction Inspection Costs	1430		\$22,200	\$22,200	\$22,200	\$18,479.77
AMP GROUP 1	A & E Fees	1430		\$33,660	\$35,434.36	\$35,434.36	\$5,750.75
AMP GROUP 2	A & E Fees	1430		\$33,660	\$35,162.48	\$35,162.48	\$5,822.21
AMP GROUP 2	Construction Inspection Costs	1430		\$31,450	\$42,586.76	\$42,586.76	\$22,031.02
AMP GROUP 3	Construction Inspection Costs	1430		\$27,750	\$38,886.76	\$38,886.76	\$22,200.49
AMP GROUP 3	A & E Fees	1430		\$33,660	\$37,601.48	\$37,601.48	\$6,800.39
AMP GROUP 4	Physical Needs Assessment	1430		0	0	0	0
AMP GROUP 4	Construction Inspection Costs	1430		\$22,200	\$22,200	\$22,200	\$16,515.78
AMP GROUP 4	A & E Fees	1430		\$33,660	\$35,674.02	\$35,674.02	\$12,218.88
AMP GROUP 5	Physical Needs Assessment	1430		0	0	0	0
AMP GROUP 5	Construction Inspection Costs	1430		\$18,500	\$29,636.76	\$29,636.76	\$12,726.96

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Part II: Supporting Pages					Federal FY of Grant: 2008			
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 5	A & E Fees	1430		\$33,660	\$40,652.98	\$40,652.98	\$15,975.74	In Progress
AMP GROUP 6	Physical Needs Assessment	1430		0	0	0	0	
AMP GROUP 6	Construction Inspection Costs	1430		\$33,300	\$33,300	\$33,300	\$14,586.26	In Progress
AMP GROUP 6	A & E Fees	1430		\$33,660	\$39,506.69	\$39,506.69	\$10,439.22	In Progress
AMP GROUP 7	Physical Needs Assessment	1430		0	0	0	0	
AMP GROUP 7	Construction Inspection Costs	1430		\$22,200	\$32,281.93	\$32,281.93	\$15,748.28	In Progress
AMP GROUP 7	A & E Fees	1430		\$33,663	\$34,151.86	\$34,151.86	\$5,510.30	In Progress
AMP GROUP 8	Physical Needs Assessment	1430		0	0	0	0	
AMP GROUP 8	Construction Inspection Costs	1430		\$7,400	\$7,400	\$7,400	\$1,798.44	In Progress
AMP GROUP 8	A & E Fees	1430		0	0	0	0	In Progress
	Total	1430		\$420,623	\$486,676.08	\$486,676.08	\$186,604.49	
IL1-1, S. Gompers	Signage and Landscaping	1450		\$30,000	\$598.42	\$598.42	\$0	In Progress
IL1-1, S. Gompers	Replace trash enclosures	1450		\$110,952	0	0	0	
IL1-1, S. Gompers	Repair Fiber Optic wire to Security Camera	1450		\$7,777	\$7,776.44	\$7,776.44	\$7,776.44	
IL1-1, S. Gompers	Dumpster Replacement	1450		0	\$56,611.97	0	0	Not Started
IL1-2, -3	Resurface/stripe parking lots	1450		\$52,000	\$10,500	\$10,500	\$10,500	Completed
IL1-2, -3	Install signage and landscaping	1450		\$33,700	\$33,700.00	\$33,700.00	\$0	In Progress
IL1-3	Landscaping & fence demo area	1450		\$20,000	0	0	0	

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2008				
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IL1-2, -3	Dumpster Replacement	1450		0	\$91,033.31	\$11,549.70	\$11,549.70	In Progress
IL1-4, -26, -41	Landscaping	1450		\$46,229	\$46,229.00	\$46,229.00	0	In Progress
IL1-4, -26-41	Tree Trim/Gutter cleaning	1450		\$18,800	\$18,800	\$18,800	\$18,800	Completed
IL1-4, -26, -41	Repave parking areas, replace signage	1450		\$30,000	\$6,940	\$6,940	\$6,940.00	Completed
IL1-4, -26	Dumpster Replacement	1450		0	\$64,954.91	0	0	Not Started
IL1-41	Install sprinkler system	1450		0	0	0	0	
IL1-4, -26	Install site lighting	1450		\$30,000	\$30,000	\$30,000	0	Not Started
IL1-4, -26, -41	Jet-Vac Sewer	1450		\$12,408	\$12,408	\$12,408	0	Not Started
IL1-11	Emergency/ Ground Locator	1450		0	\$443	\$443	\$443	Completed
IL1-27, -28	Install Fencing around each Court	1450		\$74,852	\$112,131.35	\$112,131.35	\$112,131.35	Completed
IL1-5	Fencing	1450		0	\$5,613.76	\$5,613.76	\$5,613.76	Completed
IL1-21, -44	Resurface & seal parking lot	1450		\$10,000	\$0.00	\$0.00	0	
IL1-7LR, -21, -44	Replace misc. signage throughout dev	1450		\$4,500	\$4,500	\$4,500	0	Not Started
IL1-5, -13	Dumpster Replacement	1450		0	\$31,773.81	0	0	Not Started
IL1-71	Parking Lot	1450		0	\$6,560	\$6,560	\$6,560	Completed
IL1-25	Emergency/ Ground Locator	1450		0	\$443	\$443	\$443	Completed
IL1-40	Resurface/seal driveways	1450		\$6,000	\$6,000	\$6,000	0	In Progress
	Total	1450		\$487,218	\$547,016.97	\$314,192.67	\$180,757.25	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL1-1, S. Gompers	504 Modification	1460		0	0	0	0		
IL1-1, S. Gompers	Install cable outlets in upstairs bedrooms	1460		0	0	0	0		
IL1-1, S. Gompers	Replace Exterior Doors	1460		\$20,000	0	0	0		
IL1-1, S. Gompers	Install door jam enforcers	1460		0	\$55.32	\$55.32	\$55.32	Completed	
IL1-2, -3	504 Modification	1460		0	0	0	0	Not Started	
IL1-3	Preventative Maintenance	1460		\$20,000	\$54.64	\$54.64	\$54.64	Completed	
IL1-3	Replace furnaces	1460		\$15,000	0	0	0	Not Started	
IL1-2, -3	Replace kitchen cabinetry	1460		\$80,000	\$109,266.65	\$109,266.65	\$109,266.65	Completed	
IL1-2, -3	Replace water heaters 100 units	1460		\$15,000	0	0	0		
IL1-41	Power wash siding	1460		0	\$18,684.00	\$18,684.00	\$18,684.00	Completed	
IL1-4, -26, -41	Preventative Maint.	1460		\$20,000	\$22,510.54	\$22,510.54	\$22,510.54	Completed	
IL1-4, -26, -41	Furnace Replacement	1460		\$22,000	\$11,509.49	\$11,509.49	\$11,509.49	Completed	
IL1-4, -26, -41	Replace water heaters	1460		0	0	0	0		
IL1 -26	2 nd Story replacement	1460		0	0	0	0		
IL1-4, -26, -41	Plumbing/toilet replacement	1460		0	0	0	0		
IL1-4, -26, -41	Floor tile Replacement	1460		0	0	0	0		
IL1-4, -26, -41	Interior/Exterior cyclical Painting	1460		0	0	0	0		
IL1-4, -26, -41	Siding, Guttering & soffit replacement	1460		\$20,000	0	0	0		
IL1-4, -26, -41	Interior door replacement	1460		0	0	0	0		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages							
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2008			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
IL1-4, -26	Security Screens	1460		0	0		
IL1-4	A/C shelves-block egress	1460		0	0		
IL1-4, -41	Install door jam enforcers	1460		0	0		
IL1-5	Rehab interior of units	1460		\$596,707	\$824,127.92		
IL1-13	Install new furnaces	1460		\$34,400	0	\$824,127.92	In Progress
IL1-27, -28	Replace/repair security screens	1460		\$30,000	0		
IL1-38, -39	Replace mailboxes	1460		\$2,200	\$1,119.30	\$1,119.30	Completed
IL1-13	Replace Windows	1460		\$127,116	\$451.20	\$451.20	Completed
IL1-27, -28	Repair cracks in asphalt 2 locations	1460		0	0		
IL1-5	504 Modification	1460		0	0		
IL1-38, -39	Replace/repair security screens	1460		0	0		
IL1-27, -28	Preventive Maintenance A/C	1460		0	0		
IL1-38, -39	Preventive Maintenance A/C	1460		0	0		
IL1-38	Interior painting	1460		0	0		
IL1-38	Floor tile replacement	1460		0	0		
IL1-39	Floor tile replacement	1460		0	0		
IL1-13, -38, -39	Install door jam enforcers	1460		0	0		
IL1-7 D-1, E-2	Asbestos Floor Tile Removal Occupied units	1460		0	0		
IL1-7 D-1, E-2	Replace Lock Cores	1460		\$2,500	0	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages						
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFFP (Yes/No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Status of Work
				Original	Revised ¹	
						Funds Obligated ²
						Funds Expended ²
IL1-8, -9	Replace shower enclosures	1460		\$135,428	0	0
IL1-8, -9	Replace Lock Cores	1460		\$2,500	\$2,500	0
IL1-21, -44	Replace plumbing & water damage 20 units	1460		\$36,390	0	0
IL1-8, -9	Bathroom Improvements	1460		0	\$385.94	\$385.94
IL1-21, -44	Replace furnaces, HVAC	1460		\$53,200	\$555.00	\$555.00
IL1-21, -44	Replace water heaters 100 units	1460		\$24,000	0	0
IL1-21, -44	Install door jamb enforcers	1460		\$11,538	\$14,037.12	\$12,562.59
IL1-21, -44	Replace Kitchen Cabinetry	1460		0	\$5,344.51	\$5,344.51
IL1-8, -9	Asbestos Floor tile removal	1460		0	\$11,000	0
IL1-40	Repair/Replace window security screens	1460		\$4,000	0	0
IL1-52	504 Modification	1460		0	0	0
IL1-40	Replace furnaces	1460		0	0	0
IL1-40	Preventative Maint. Furnace/A/C units	1460		\$6,000	0	0
IL1-71, -72	Purchase Kitchen Sinks	1460		0	\$4,342.00	0
	Total	1460		\$1,277,979	\$1,025,943.63	\$182,499.18
IL1-2, -3	Paint interior Admin Bldg	1465		0	\$1,569	\$1,569
IL1-4, -26, -41	Replace stoves & refrigerators	1465		\$30,000	\$25,346.06	\$25,346.06
IL1-13	Install bullet proof window Mgmt Office	1465		0	\$1,449	\$1,449

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis				Grant Type and Number Capital Fund Program Grant No: IL06P001501-08 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2008		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL1-8, -9	Replace refrigerators in efficiency apts	1465		\$12,000	\$12,000	0	0		Not Started
IL1-7, 21, -44	Furnace/AC	1465		0	\$44,053	\$44,053	\$44,053		Completed
IL1-40	Replace Stoves & Refrigerators	1465		\$3,500	0	0	0		
	Total	1465		\$45,500	\$84,417.06	\$72,417.06	\$72,417.06		In Progress
IL1-1 S. Gompers	Replace Admin Bldg. Basement doors	1470		0	0	0	0		
IL1-2, -3	Paint interior Admin Bldg	1470		\$10,000	\$5,625	\$5,625	\$5,625		Complete
IL1-27,-28	Install bullet proof window Mgmt Office	1470		0	0	0	0		
IL1-7 D-1, E-2	Replace light fixtures in hallway & balconies	1470		0	0	0	0		
IL1-7 D-1, E-2	Interior Paint	1470		\$67,600.00	\$69,025.00	\$69,025.00	\$69,025.00		Completed
IL1-8, -9	Replace outer trash chute doors	1470		\$5,000.00	0	0	0		
IL1-8	Install new alarm system in Mgmt Office	1470		\$2,500	0	0	0		
IL1-21, -44	Update alarm systems in Mgmt Office	1470		\$2,200	\$2,200	\$2,200	0		In Progress
IL1-21,-44	Replace roof on Amin Building	1470		0	0	0	0		
	Total	1470		\$87,300.00	\$76,850	\$76,850	\$74,650		
IL-4	Purchase sewer machine	1475		\$2,843	\$2,842.90	\$2,842.90	\$2,842.90		Completed
IL1-7 D-1, E-2	Purchase fax machine & color printer for Mgmt office	1475		\$3,500	\$1,570.00	\$1,570.00	\$1,570.00		Completed
IL1-71, -72	Replace Fire Panels	1475		0	\$92,700.56	\$92,700.56	0		In Progress

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report of a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT N
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-08

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-08 Date of CFFP:		FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost¹
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	370,137		370,137	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHIF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-08 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 10/31/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	370,137	370,137
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>[Signature]</i>		Signature of Public Housing Director	
Date: 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHIF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

[illegible]

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT O
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-08

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-08 Date of CFFP:		FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost ¹
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	116,465			

¹ To be completed for the Performance and Evaluation Report.


² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary				FFY of Grant: 2008 FFY of Grant Approval: 2008	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-08 Date of CFFP:				
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Revised Annual Statement (revision no:)	
Type of Grant	Summary by Development Account	Original	Revised ²	Obligated	Total Actual Cost ¹ Expended
Line					
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	116,465			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director: 		Date: 1/5/11		Signature of Public Housing Director Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHIF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT P
CAPITAL FUND PROGRAM IL-06-P001-501-09

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 Replacement Housing Factor Grant No: Date of CFPP:		FFY of Grant: 2009 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:2) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised²	Obligated	Total Actual Cost¹ Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$260,612	\$347,000		
3	1408 Management Improvements	\$317,801	\$347,000	\$13,377.50	\$13,377.50
4	1410 Administration (may not exceed 10% of line 21)	\$247,486	\$347,000	\$247,486	\$247,486
5	1411 Audit	\$35,000	\$35,000	\$35,000	\$35,000
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$49,863	\$48,883.09	\$489.63	\$489.63
8	1440 Site Acquisition				
9	1450 Site Improvement	\$141,583	\$113,000	\$10,000	
10	1460 Dwelling Structures	\$1,229,431	\$645,257.95	\$184,654.66	\$125,034.88
11	1465.1 Dwelling Equipment—Nonexpendable	\$501,226	\$1,451,102.05	\$1,724	\$1,724
12	1470 Non-dwelling Structures	\$145,313			
13	1475 Non-dwelling Equipment	\$542,338	\$136,409.91	\$136,409.91	\$28,463
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.


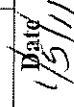
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost¹
		Original	Obligated
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,470,653	\$629,141.70
21	Amount of line 20 Related to LBP Activities		\$427,632.01
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs	\$300,000	\$6,778.50
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director 		Signature of Public Housing Director 	
		Date	
		1/5/11	
		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHJF funds shall be included here.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP GROUP 1									
	OPERATING FUNDS	1406		\$31,164	\$40,000				
	SECURITY GUARD CONTRACT	1408		\$0	\$0				
	MANAGEMENT STAFF TRAINING	1408		\$6,925	\$7,000	\$942.71	\$942.71		
	CAPITAL FUND MGMT. FEES	1410		\$32,798	\$40,000	\$32,798	\$32,798		
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.58	In Progress	
	CONSTRUCTION INSPECTION FEES	1430		\$6,233	\$6,983.30	\$471.83	\$471.83		
IL1-1, S. Gompers	PREVENTIVE MAINTENANCE	1460		\$59,489	0				
IL1-1, S. Gompers	REPLACE FURNANCE	1465		\$71,791	0				
IL1-1, S. Gompers	REPLACE WATER HEATERS	1465		\$13,053	\$175,000			Not Started	
IL1-1, S. Gompers	REPLACE APPLIANCES	1465		\$84,843	\$143,000			Not Started	
	SUBTOTAL			\$311,296	\$416,983.30	\$40,936.54	\$37,516.12		
AMP GROUP 2									
	OPERATING FUNDS	1406		\$41,812	\$60,000				
	SECURITY GUARD CONTRACT	1408		0	0				
	MANAGEMENT STAFF TRAINING	1408		\$4,328	\$7,000	\$942.71	\$942.71		
	CAPITAL FUND MGMT. FEES	1410		\$43,284	\$60,000	\$43,284	\$43,284		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57	In Progress	
	CONSTRUCTION INSPECTION FEES	1430		\$8,830	\$6,983.30	\$17.80	\$17.80		
IL1-2,-3	REPAIR DUMPSTER ENCLOSURES	1450		\$25,970					
IL1-2,-3	PAINT INTERIOR OF UNIT	1460		\$50,253					
IL1-2,-3	REPLACE KITCHEN CABINETS	1460		\$174,154	\$2,247.19	\$2,247.19	\$2,247.19	Complete	
IL1-2,-3	INSTALL DOOR JAMB ENFORCERS	1460		\$20,101					
IL1-2,-3	PREVENTIVE MAINTENANCE	1460		\$52,633					
IL1-2,-3	REPLACE WATER HEATERS	1465		\$52,211					
IL1-2,-3	REPLACE APPLIANCES	1465		\$65,264	\$140,752.81	0	0	Not Started	
IL1-3	REPLACE FURNANCE	1465			\$200,000	0	0	Not Started	
	SUBTOTAL			\$543,840	\$481,983.30	\$51,491.70	\$48,071.27		
AMP GROUP 3									
	OPERATING FUNDS	1406		\$37,917	\$60,000				
	SECURITY GUARD CONTRACT	1408		0	0				
	MANAGEMENT STAFF TRAINING	1408		\$4,328	\$7,000	\$942.71	\$942.71		
	CAPITAL FUND MGMT. FEES	1410		\$38,955	\$60,000	\$38,955	\$38,955		
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57	In Progress	
	CONSTRUCTION INSPECTION FEES	1430		\$7,791	\$6,983.30				
IL1-4, -26	ADD GATED PLAYGROUNDS	1450		\$30,299					

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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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Part II: Supporting Pages								
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 3	SITE LIGHTING	1450		\$10,000	\$10,000	\$10,000	0	Not Started
IL1-4	EXTERIOR STAIR REPLACEMENT	1460		\$20,101	0			
IL1-4, -26	DOOR & WINDOW CAULKING	1460		\$7,035	0			
IL1-4, -26, -41	PREVENTIVE MAINTENANCE	1460		\$54,728	\$14,134.50	\$14,134.50	\$14,134.50	Complete
IL1-4, -26	REPAIR BLDG. FOUNDATION	1460		\$50,253	0			
IL1-4, -26, -41	PLUMBING/ FLOOR REPAIR	1460		\$7035	0			
IL1-4, -26, -41	REPLACE WINDOW BLINDS	1460		\$30,152	\$29,869.16	\$29,869.16	\$9,134.29	In Progress
IL1-4, -26, -41	504 MODIFICATION	1460		\$11,610	0			
IL1-4, -26	WATERLINE INSULATION	1460		\$15,076	0			
IL1-4, -26, -41	CYCLICAL PAINTING	1460		\$10,051	0			
IL1-4, -26, -41	REPLACE FURNACE	1465		\$13,053	0			
IL1-4, -26	REPLACE CA UNIT	1465		\$6,526	0			
IL1-4	REPLACE WATER HEATERS	1465		\$3,263	\$175,000			Not Started
IL1-4	REPLACE APPLIANCES	1465		\$9,790	\$112,076.50			Not Started
IL1-41	REHAB APT 6A FOR MAINTENANCE	1470		\$9,576	0			
IL1-4,	REPAIR ADMN BLDG FOUNDATION	1470		\$47,878	0			
IL1-4, -26, -41	PURCHASE LAWN EQUIPMENT	1475		\$13,656	\$16,789	\$16,789	\$16,789	Complete
IL1-4, -26, -41	PURCHASE OFFICE EQUIPMENT	1475		\$54,625	0			

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Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 3	PURCHASE CARS FOR MANAGERS	1475		\$46,430	0			
IL1-4, -26, -41	INSTALL NEW SECURITY ALARM	1475		\$27,312	0			
	SUBTOTAL			\$572,440	\$497,135.30	\$115,690.37	\$81,535.07	
AMP GROUP 4								
	OPERATING FUNDS	1406		\$33,502	\$50,000			
	SECURITY GUARD CONTRACT	1408		0	0			
	MANAGEMENT STAFF TRAINING	1408		\$8,224	\$6,500	\$942.71	\$942.71	
	CAPITAL FUND MGMT. FEES	1410		\$38,955	\$50,000	\$38,955	\$38,955	
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57	In Progress
	CONSTRUCTION INSPECTION FEES	1430		\$6,233	\$6,983.30	0	0	
IL1-13	MISC. SIGNAGE	1450		\$1,731	0			
IL1-5	INSTALL FENCING	1450		\$51,941	\$53,000	0	0	Not Started
IL1-13	LANDSCAPING	1450		0	\$50,000	0	0	Not Started
IL1-52	WINDOW REPLACEMENT	1460		\$102,194	\$282.84	\$282.84	\$282.84	Complete
IL1-5, -13, -27, -28	PREVENTIVE MAINTENANCE	1460		\$114,482	0			
IL1-13	INSTALL AC SLEEVES	1460		\$30,152	0			
IL1-13	REPLACE FLOOR TILE	1460		\$50,253	0			
IL1-27, -28	REPLACE APPLIANCE	1465		\$52,211	\$132,921	0	0	Not Started
	PURCHASE CARS FOR PROPERTY MANAGERS	1475		\$47,796	0			

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Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP GROUP 4	PURCHASE OFFICE EQUIPMENTS	1475		\$23,488	0				
	PURCHASE LAWN EQUIPMENT	1475		0	\$10,079	\$10,079	\$10,079	Complete	
	SUBTOTAL			\$514,221	\$364,483.30	\$55,259.55	\$51,839.12		
AMP GROUP 5									
	OPERATING FUNDS	1406		\$31,294	\$45,000				
	SECURITY GUARD CONTRACT	1408		\$93,333	\$150,000	\$3,360	\$3,360	In Progress	
	MANAGEMENT STAFF TRAINING	1408		\$3,607	\$6,500	\$942.72	\$942.72		
	CAPITAL FUND MGMT. FEES	1410		\$16,448	\$45,000	\$16,448	\$16,448		
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57	In Progress	
	CONSTRUCTION INSPECTION FEES	1430		\$5,194	\$6,983.30				
IL1-25	REPLACE FURNANCE	1460		\$5,025	0				
IL1-25	PAINT INTERIOR OF UNIT	1460		\$17,086	0				
IL1-7 D-1	504 MODIFICATION	1460		\$10,449	0				
	REPLACE KITCHEN CABINETS	1460		0	\$200,000	0	0	Not Started	
IL1-25	REPLACE APPLIANCE	1465		\$7,832	\$143,000	0	0	Not Started	
IL1-7 D-1, E-2	INSTALL SECURITY CAMERAS	1475		\$233,442	0				
IL1-7 D-1, E-2	REPLACE FIRE PANELS	1475		0	\$30,785.59	\$30,785.59	0	In Progress	
	SUBTOTAL			\$428,710	\$632,268.89	\$56,536.31	\$22,330.29		

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Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 6								
	OPERATING FUNDS	1406		\$47,396	\$50,000			
	SECURITY GUARD CONTRACT	1408		\$186,667	\$150,000	\$3,418.50	\$3,418.50	In Progress
	MANAGEMENT STAFF TRAINING	1408		\$4,328	\$6,500	\$942.72	\$942.72	
	CAPITAL FUND MGMT. FEES	1410		\$47,612	\$50,000	\$47,612	\$47,612	
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57	In Progress
	CONSTRUCTION INSPECTION FEES	1430		\$9,349	\$6,983.30			
IL1-8	INSTALL SHUT OFF VALVES IN BATHROOM	1460		\$100,506	0			
IL1-8	INSTALL FIBERGLASS SHOWER ENCL.	1460		\$99,941	\$24,569.95	\$24,569.95	\$5,786.04	In Progress
IL1-8	REPLACE KITCHEN CABINETS	1460		0	\$300,000	\$39,396.71	\$39,396.71	In Progress
IL1-8	MASONRY	1460		0	\$37,301.23	\$37,301.23	\$37,301.23	Complete
IL1-8	REPLACE APPLIANCES	1465		\$48,948	\$104,103.82	0	0	Not Started
IL1-8	REPLACE LIGHT FIXTURES IN HALLWAYS	1470		\$59,848	0			
IL1-8	PAINT COMMON AREA	1470		\$1,596	0			
IL1-8	REPLACE FLOOR TILE ELEVATORS	1470		\$7,980	0			
IL1-8	504 MODIFICATION	1470		\$18,436	0			
IL1-8, -9	PURCHASE TRUCK FOR MAINTENANCE	1475		\$54,624	0			
IL1-8, -9	PURCHASE FLOOR CARE EQUIPMENT	1475		\$5,462	0			
IL1-8, -9	PURCHASE OFFICE EQUIPMENT	1475		\$27,312	\$1,595.00	\$1,595	\$1,595	Complete

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Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06P001501-09 CFEP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
IL1-8, -9	REPLACE FIRE PANELS	1475		0	\$77,161.32	\$77,161.32		In Progress	
	SUBTOTAL			\$725,005	\$813,214.62	\$236,997.43	\$137,631.77		
AMP GROUP 7									
	OPERATING FUNDS	1406		\$37,527	\$42,000				
	SECURITY GUARD CONTRACT	1408		0	0				
	MANAGEMENT STAFF TRAINING	1408		\$6,060	\$6,500	\$942.72	\$942.72		
	CAPITAL FUND MGMT. FEES	1410		\$29,433	\$42,000	\$29,434	\$29,434		
	AUDIT FEES	1411		\$5,000	\$5,000	\$5,000	\$1,579.57		
	CONSTRUCTION INSPECTION FEES	1430		\$6,233	\$6,983.29				
IL1-7LR, -21, -44	MISC. SIGNAGE	1450		\$21,642	0				
IL1-21, -44	REPLACE PLUMBING & WATER DAMAGE	1460		\$87,441	0				
IL1-21, -44	REPLACE WINDOWS	1460		\$34,831	0				
IL1-21, -44	504 MODIFICATION	1460		\$11,610	0				
IL1-7, -21, -44	INSTALL STORAGE CABINETS & SHELVING	1460		\$5,025	0				
IL1-21, -44	WATERPROOF BASEMENTS	1460	7	\$15,076	0				
	PREVENTIVE MAINTENANCE	1460		\$34,627	0				
IL1-7, -21, -44	REPLACE KITCHEN CABINETS	1460		0	\$16,752.08	\$16,752.08	\$16,752.08	Complete	
IL1-7, -21, -44	INSTALL DOOR JAM ENFORCERS	1460		0	\$20,101.00	\$20,101		Not Started	

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FY 2011 ANNUAL PLAN

<p>ATTACHMENT Q CAPITAL FUND PROGRAM IL-06-S001-501-09</p>
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL006S001 501-09 Replacement Housing Factor Grant No: Date of CFFP:	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/2/2011		<input type="checkbox"/> Revised Annual Statement (revision no:3) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost Revised ¹
1	Total non-CFP Funds		Obligated Expended
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)	434,883.00	434,883.00
5	1411 Audit		434,883.00
6	1415 Liquidated Damages		
7	1430 Fees and Costs	35,000	35,000
8	1440 Site Acquisition		28,850.82
9	1450 Site Improvement	1,554,002.43	1,554,002.43
10	1460 Dwelling Structures	2,225,834.63	2,172,350.63
11	1465.1 Dwelling Equipment—Nonexpendable		1,477,107.44
12	1470 Non-dwelling Structures	12,203.94	12,203.94
13	1475 Non-dwelling Equipment	665,950	665,950
14	1485 Demolition		618,955
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs	20,828	20,828
17	1499 Development Activities ⁴		12,992.02

¹ To be completed for the Performance and Evaluation Report.

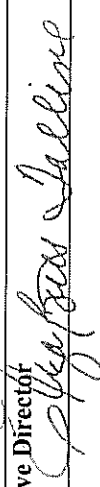
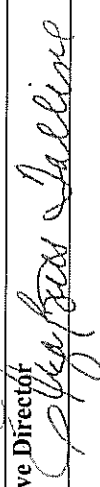
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHPF funds shall be included here.

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Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant:2009		FFY of Grant Approval:	
PHA Name:	Grant Type and Number	Capital Fund Program Grant No: IL06S001501-09			
Housing Authority of the City of East St. Louis	Replacement Housing Factor Grant No:	Date of CFFP:			
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)			
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/2/2011		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Revised ²	Obligated	Total Actual Cost ¹
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	4,948,702	4,895,218	4,895,218	3,517,755.29
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Signature of Public Housing Director		Date	
				7/29/2011	

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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP GROUP 2									
	Exterior Lighting	1450		0					
	Landscaping/ Curb Appeal	1450		94,103.10	85,352.60	85,352.60	85,352.60	Complete	
	Repair Parking Lots/ Speed Bumps	1450		47,000	47,000	47,000	39,851.90	In Progress	
	Jet Vac Sewer Lines	1450		26,151.99	26,151.99	26,151.99	26,151.99	Complete	
	Replace Roofs	1460		0					
	Mold Remediation	1460		0					
	Turnover Units	1460		0					
	Termite/Pest Control	1460		19,200	19,200	19,200	19,200	Complete	
	Sec. 504 Handicap Accessibility	1460		0					
	Replace Kitchen Cabinets	1460		0					
1-2	Lead Based Paint Removal	1460		0					
	Window Blinds	1460		41,130.01	41,130.01	41,130.01	41,130.01	Complete	
	Window Blind Labor	1460		12,326.08	12,326.08	12,326.08	12,326.08	Complete	
1-3	Replace Siding	1460		0					
	Subtotal			239,911.18	231,160.68	231,160.68	224,012.58		

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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP GROUP 4	Jet Vac Sewer Lines	1450		13,565	13,565	13,565	13,565	Complete	
	Trim Trees	1450		0	0				
	Landscaping/Curb Appeal	1450		93,528.90	144,439.60	144,439.60	144,439.60	Complete	
	Parking Lot Repairs/Speed Bumps	1450		79,640.40	79,640.40	79,640.40	79,640.40	Complete	
	Slag Removal	1450		186,753.31	186,753.31	186,753.31		Not Started	
1-5	Lime Injection to Stabilize Foundation	1450		0	0				
1-5	Fence	1450		0	0				
1-5	Remove Rocks, Replace Playground	1450		206,753.31	206,753.31	206,753.31		Not Started	
1-5	Site Lighting	1450		96,945.81	96,945.81	96,945.81		In Progress	
1-5	Install Electrical Transformers	1450							
1-5	Mold Abatement	1460		20,000	73,600.00	73,600.00		In Progress	
1-5	Replace Exterior GFI's	1460		0	0				
1-5	Roof Repair	1460		45,784.95	45,784.95	45,784.95		In Progress	
1-5	Correct Code Issues/ADA	1460		0	0				
1-5	Replace siding, guttering, downspouts	1460		114,774.35	82,238.77	82,238.77		In Progress	
1-5	Insulate facades	1460		44,119.50	44,119.50	44,119.50		In Progress	
1-5	Paint/Replace Entry Doors	1460		0	0				
1-5	Replace Exterior Doors	1460		28,121.27	28,121.27	28,121.27		In Progress	
1-5	Re-Work Front & Rear Doors	1460		0	0				

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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP 4 (Cont)	General Condition	1460		53,484.00	20,000.00	20,000.00		In Progress	
1-5	Repair/Replace Security Screens/ bldg Numbers	1460		25,849.05	25,849.05	25,849.05		In Progress	
1-5	Repair Building Electrical Systems	1460		131,846.30	131,846.30	131,846.30		In Progress	
1-5	Replace HVAC/ Hot Water Heaters	1460		243,934.65	223,934.65	223,934.65		In Progress	
1-5	Repair Plumbing	1460		37,975.15	37,975.15	37,975.15		In Progress	
1-5	Rehab Interior of Units	1460		148,017.80	148,017.80	148,017.80	846,862.38	In Progress	
1-5	Painting and patch Drywall	1460		193,780.15	172,180.15	172,180.15		In Progress	
1-5	Replace Floor and Base, Stair Tread and Riser	1460		101,191.90	101,191.90	101,191.90		In Progress	
1-5	Replace Windows & Sills	1460		167,344.00	167,344.00	167,344.00		In Progress	
	Replace Kitchen Cabinets & Countertops	1460		25,849.05	25,849.05	25,849.05		In Progress	
	Replace Furnance & add Air Conditioners	1460		52,220.55	52,220.55	52,220.55		In Progress	
	Repair Exterior Stairs Structure	1460		30,052.64	30,052.64	30,052.64		In Progress	
	Repair Canopies, Paint and Replace Roof	1460		92,129.84	92,129.84	92,129.84		In Progress	
	Termite/Pest Control	1460		58,000	58,300.00	58,300.00	58,300.00	Complete	
	Window Blinds	1460		10,093.12	10,093.12	10,093.12	10,093.12	Complete	
1-20	Demo 779 N.54th St.	1485		0	0				
1-11	Demo 1802 Lake	1485		0	0				
	Relocation	1495		20,828	20,828	20,828		In Progress	
	Subtotal			2,322,347.42	2,319,774.12	2,319,774.12	1,165,675.84		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL006S001501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP GROUP 5								
	Lanscaping/Curb Appeal	1450		27,445.08	10,000	10,000		In Progress
	Parking Lot Repairs/Speed Bumps	1450		67,500	67,500	67,500	47,691.92	In Progress
	Jet Vac Sewer Lines	1450		19,602.03	19,602.03	19,602.03	19,602.03	Complete
	Turnover Units	1460		0	0			
	Termite/ Pest Control	1460		13,100	13,100	13,100	5,000	In Progress
	Sec.504 Hanicap Accessibility	1460		0	0			
	Window Blinds	1460		25,985.30	25,985.30	25,985.30	25,985.30	Complete
	Bathroom Mold Remediation	1460		0	0			
	Replace lock cores	1460		10,600	10,600	10,600		In Progress
	Kitchen Cabinets/ Counters	1460		0	0			
	Abestos Floor Tile Removal	1460		0	0			
	Replace Fire Panels	1475		134,517.40	134,517.40	134,517.40	134,517.40	Complete
	Install Digital Antennas	1475		0	0			
	Heating/hot water bolier replacement	1475		469,950	469,950	469,950	422,955	In Progress
	Subtotal			768,699.81	751,254.73	751,254.73	655,751.65	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL006S001501-09 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP 6	Jet Vac Sewer Lines	1450		2,282	2,282	2,282	2,282		
	Landscaping/Curb Appeal	1450		41,249.50	10,000	10,000	5,110.40		Complete
	Parking Lot Repair	1450		47,000	47,000	47,000	30,158.81		In Progress
	Turnover Units	1460		0					
	Termite/Pest Control	1460		12,000	12,000	12,000	12,000		Complete
	Sec.504 Handicap Accessibility	1460		0					
	Window Blinds	1460		27,382.32	27,382.32	27,382.32	27,382.32		Complete
	Bathroom Mold Remediation	1460		0					
	Replace Lock Cores	1460		20,950	20,950	20,950			In Progress
	Replace kitchen cabinets/counters	1460		0					
	Replace trash chute doors	1470		9,224	9,224	9,224	9,224		Complete
	Trash Chute Labor	1470		2,979.94	2,979.94	2,979.94	2,979.94		Complete
	Replace Fire Panels	1475		61,482.60	61,482.60	61,482.60	61,482.60		Complete
	Replace heater in lobby area	1475		0					
	Install Digital Antenna	1475		0					
	Subtotal			224,550.36	193,300.86	193,300.86	150,620.07		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages									
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL006S001501-09 CFPP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP GROUP 7									
	Landscaping/Curb Appeal	1450		50,000	9,190.73	9,190.73		In Progress	
	Parking Lot Repair/Speed Bumps	1450		96,781.30	96,781.30	96,781.30	95,366.74	In Progress	
	Jet Vac Sewer Lines	1450		8,386.98	8,386.98	8,386.98	8,386.98	Complete	
IL 1-21, -44	Exterior Lighting	1450		0	0				
	Turnover Units	1460		0	0				
	Termite/Pest Control	1460		127,530	127,530	127,530	127,530	Complete	
	Sec.504 Handicap Accessibility	1460		0	0				
	Window Blinds	1460		29,886.49	29,886.49	29,886.49	29,886.49	Complete	
IL 1-21, -44	Window Blind Labor	1460		23,354.84	23,354.84	23,354.84	23,354.84	Complete	
	Kitchen cabinets/ counters	1460		0	0				
	Replace Roof	1460		0	0				
1-50	Demo 1805 Central	1485		0	0				
	Subtotal			335,939.61	295,130.34	295,130.34	284,525.05		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9i of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT R

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-09

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-09 Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Obligated Expended
1	Total non-CFFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)	\$120,000	
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	\$209,993	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-09 Date of CFFP:	FFY of Grant Approval: 2009	
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Type of Grant	Reserve for Disasters/Emergencies		
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	329,993	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director <i>[Signature]</i>		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT S

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-09

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-09 Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010			
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²
1	Total non-CFFP Funds		
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	262,295	
			Total Actual Cost ¹ Expended

¹ To be completed for the Performance and Evaluation Report.

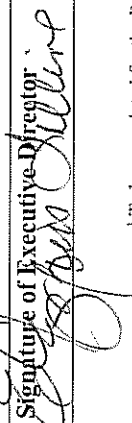
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-09 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010			
Type of Grant		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	262,295	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director 		Date 1/5/11	Signature of Public Housing Director Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHIF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT T

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-504-09

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001504-09 Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Original	Total Estimated Cost	Obligated	Total Actual Cost¹
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	71,765			

¹ To be completed for the Performance and Evaluation Report.

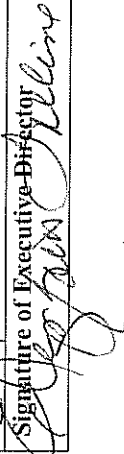
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001504-09 Date of CFFPP:	FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies			
<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	71,765	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director 		Date 1/31/11	Signature of Public Housing Director Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

PHA Name: Housing Authority of the City of East St.

Federal FFY of Grant: 2009

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

<p>ATTACHMENT U CAPITAL FUND PROGRAM IL-06-P001-501-10</p>
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis					
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:1) <input type="checkbox"/> Final Performance and Evaluation Report			
Type of Grant	Summary by Development Account	Original	Total Estimated Cost Revised²	Obligated	Total Actual Cost¹ Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	\$340,000	\$340,000		
3	1408 Management Improvements	\$200,000	\$200,000		
4	1410 Administration (may not exceed 10% of line 21)	\$340,000	\$340,000		
5	1411 Audit	\$35,000	\$9,699		
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$140,000	\$140,000		
8	1440 Site Acquisition				
9	1450 Site Improvement	\$15,000	\$15,000		
10	1460 Dwelling Structures	\$1,621,500	\$1,563,500		
11	1465.1 Dwelling Equipment—Nonexpendable	\$294,000	\$294,000		
12	1470 Non-dwelling Structures	\$170,000	\$170,000		
13	1475 Non-dwelling Equipment	\$330,000	\$330,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RTHF funds shall be included here.

**Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:	
				FFY of Grant: 2010 FFY of Grant Approval:	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies			
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no: 1)			
Summary by Development Account		Total Estimated Cost		Total Actual Cost¹	
Line		Original	Revised²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9008 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$3,485,500	\$3,402,199		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs	\$200,000	\$200,000		
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director <i>[Signature]</i>		Date 9/30/10		Signature of Public Housing Director <i>[Signature]</i>	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages							
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 CFPP (Yes/ No): Replacement Housing Factor Grant No:		Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 1	Operating Funds	1406		\$40,000	\$40,000		
AMP 2	Operating Funds	1406		\$60,000	\$60,000		Not Started
AMP 3	Operating Funds	1406		\$60,000	\$60,000		Not Started
AMP 4	Operating Funds	1406		\$50,000	\$50,000		Not Started
AMP 5	Operating Funds	1406		\$40,000	\$40,000		Not Started
AMP 6	Operating Funds	1406		\$50,000	\$50,000		Not Started
AMP 7	Operating Funds	1406		\$40,000	\$40,000		Not Started
AMP 5	Security Costs	1408		\$65,000	\$65,000		Not Started
AMP 6	Security Costs	1408		\$135,000	\$135,000		Not Started
AMP 1	Capital Funds Mgmt Fees	1410		\$40,000	\$40,000		Not Started
AMP 2	Capital Funds Mgmt Fees	1410		\$60,000	\$60,000		Not Started
AMP 3	Capital Funds Mgmt Fees	1410		\$60,000	\$60,000		Not Started
AMP 4	Capital Funds Mgmt Fees	1410		\$50,000	\$50,000		Not Started
AMP 5	Capital Funds Mgmt Fees	1410		\$40,000	\$40,000		Not Started
AMP 6	Capital Funds Mgmt Fees	1410		\$50,000	\$50,000		Not Started
AMP 7	Capital Funds Mgmt Fees	1410		\$40,000	\$40,000		Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages			Federal FFY of Grant: 2010				
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 CFPP (Yes/ No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 1	Audit Fees	1411		\$5,000	\$0.00		
AMP 2	Audit Fees	1411		\$5,000	\$0.00		
AMP 3	Audit Fees	1411		\$5,000	\$0.00		
AMP 4	Audit Fees	1411		\$5,000	\$0.00		
AMP 5	Audit Fees	1411		\$5,000	\$0.00		
AMP 6	Audit Fees	1411		\$5,000	\$0.00		
AMP 7	Audit Fees	1411		\$5,000	\$0.00		
Agency Wide	Audit Fees	1411		\$0.00	\$9,699		Not Started
	TOTAL			\$35,000	\$9,699		
AMP 1	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 2	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 3	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 4	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 5	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 6	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
AMP 7	Construction Inspection Fees	1430		\$20,000	\$20,000		Not Started
	TOTAL			\$140,000	\$140,000		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages			Federal FFY of Grant: 2010				
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 CFPP (Yes/ No): Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²
AMP 1	Bathroom Upgrade	1460		\$75,000	\$75,000		
	Kitchen Upgrade	1460		\$120,000	\$120,000		
	LBP Abatement	1460		\$28,500	\$28,500		
	Window Replacement	1460		\$50,000	\$50,000		
	Replace Porch Light Fixtures	1460		\$24,000	\$24,000		
	Preventative Maintenance	1460		\$20,000	\$0.00		
	Appliance Replacement	1465		\$42,000	\$42,000		
	Purchase trucks for Maintenance	1475		\$40,000	\$40,000		
AMP 2	Interior Painting	1460		\$50,000	\$50,000		
	Replace Kitchen Cabinets	1460		\$100,000	\$100,000		
	Install cages around electrical boxes	1460	8 bldgs	\$20,000	\$20,000		
	Furnace Replacement	1465	25 units	\$37,500	\$37,500		
	Replace playground equipment	1475		\$75,000	0		
	Purchase Trucks for Maintenance	1475		\$40,000	\$40,000		

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages						
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 CFIFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	Total Actual Cost	Status of Work
				Original	Revised ¹ Funds Obligated ²	Funds Expended ²
AMP 3	Grounds Improvements	1450		\$5,000	\$5,000	Not Started
	Exterior Door & Window Caulk	1460		\$5,000	\$5,000	Not Started
	Preventative Maintenance	1460		\$20,000	\$0.00	Not Started
	Interior Door Replacement	1460		\$10,000	\$10,000	Not Started
	Replace Kitchen Cabinets & Countertops	1460		\$80,000	\$80,000	Not Started
	Replace Bath Vanities	1460		\$50,000	\$50,000	Not Started
	Replace Bath tub enclosures	1460		\$46,000	\$46,000	Not Started
	Plumbing/floor repair	1460		\$7,000	\$7,000	Not Started
	Replace Water Heaters	1460		\$5,000	\$5,000	Not Started
	Cyclical Painting	1460		\$20,000	\$20,000	Not Started
	Floor Tile Replacement	1460		\$30,000	\$30,000	Not Started
	Furnace Replacement	1465		\$37,500	\$37,500	Not Started
	Install or Replace A/C Units	1465		\$10,000	\$10,000	Not Started
	Appliance Replacement	1465		\$15,000	\$15,000	Not Started
	Purchase Trucks for Maintenance	1475		\$40,000	\$40,000	Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Housing Authority of the City of East St. Louis			Grant Type and Number Capital Fund Program Grant No: IL06-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP 6	Install Individual Water Shnt Offs	1460		\$100,000	\$100,000			Not Started
	Install Fiberglas Shower Enclosures	1460		\$100,000	\$100,000			Not Started
	Replace Asbestos Floor Tile in Occ. Units	1460		\$50,000	\$50,000			Not Started
	Replace Kitchen Cabinets	1460		\$125,000	\$125,000			Not Started
	Appliance Replacement	1465		\$55,000	\$55,000			Not Started
	Replace Heating Boiler	1470		\$100,000	0			Not Started
	Repair Foundation	1470		\$100,000	\$100,000			Not Started
	Purchase Trucks for Maintenance	1475		\$15,000	\$14,000			Not Started
	Replace Fire Panels	1475		0	\$1,000			Not Started
AMP 7	Tree Trimming/Landscaping	1450		\$10,000	\$10,000			Not Started
	Replace Kitchen Cabinets	1460		\$30,000	\$30,000			Not Started
	Plumbing/Bathroom Vanity Replacement	1460		\$87,000	\$87,000			Not Started
	Interior Painting	1460		\$30,000	\$30,000			Not Started
IL 1-25	Roof Repair	1460		\$5,000	\$5,000			Not Started
IL 1-25	Replace Bathroom Vanities	1460		\$5,000	\$5,000			Not Started
IL 1-25	Exterior Painting	1460		\$10,000	\$10,000			Not Started
	Purchase trucks for Maintenance	1475		\$40,000	\$40,000			Not Started

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

**ATTACHMENT W
REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-10**

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-10 Date of CFEP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Revised ²	Total Actual Cost ¹ Obligated Expended
1	Total non-CFP Funds	Original	
2	1406 Operations (may not exceed 20% of line 21) ³		
3	1408 Management Improvements		
4	1410 Administration (may not exceed 10% of line 21)		
5	1411 Audit		
6	1415 Liquidated Damages		
7	1430 Fees and Costs		
8	1440 Site Acquisition		
9	1450 Site Improvement		
10	1460 Dwelling Structures		
11	1465.1 Dwelling Equipment—Nonexpendable		
12	1470 Non-dwelling Structures		
13	1475 Non-dwelling Equipment		
14	1485 Demolition		
15	1492 Moving to Work Demonstration		
16	1495.1 Relocation Costs		
17	1499 Development Activities ⁴	\$327,070.00	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001501-10 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original	Revised ²
18a	1501 Collateralization or Debt Service paid by the PHA		Obligated
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$327,070.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 1/5/11		Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages			Federal FFY of Grant: 2010				
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06R00151-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:		Total Estimated Cost		Total Actual Cost	Status of Work
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Original	Revised ¹	Funds Obligated ²	Funds Expended ²
	Development Activities	1499		\$327,070.00			
	<input type="checkbox"/> FORMTEXT						
Total				\$327,070.00			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

FY 2011 ANNUAL PLAN

<p>ATTACHMENT X REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-10</p>
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-10 Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010
PHA Name: Housing Authority of the City of East St. Louis				
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated Total Actual Cost ¹ Expended
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) ³			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities ⁴	\$148,360.00		

¹ To be completed for the Performance and Evaluation Report.

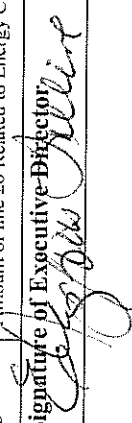
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2010 FFY of Grant Approval: 2010	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R001502-10 Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010 <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$148,360.00	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director: 		Date: 1/5/11	Signature of Public Housing Director
			Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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ATTACHMENT Y(1)
CAPITAL FUND PROGRAM IL-06-P001-501-11

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: IL06P001501-11 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2011 FFY of Grant Approval:	
PHA Name: Housing Authority of the City of East St. Louis					
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/1/2011		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Total Estimated Cost Revised ²	Obligated	Total Actual Cost ¹ Expended
1	Total non-CFFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	0			
3	1408 Management Improvements	345,000			
4	1410 Administration (may not exceed 10% of line 21)	156,793			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	65,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	200,000			
10	1460 Dwelling Structures	1,500,000			
11	1465.1 Dwelling Equipment—Nonexpendable	150,000			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	500,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary			
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: IL06P001501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval: 2011	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 8/1/2011 <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
		Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$2,916,793	
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Activities	\$ 250,000	
23	Amount of line 20 Related to Security - Soft Costs	\$ 300,000	
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

U.S. Department of Housing and Urban Development
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Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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Office of Public and Indian Housing
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Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

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Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
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Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

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Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

U.S. Department of Housing and Urban Development
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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages				Federal FFY of Grant: 2011				
PHA Name: Housing Authority of the City of East St. Louis		Grant Type and Number Capital Fund Program Grant No: IL06P001501-11 CFFP (Yes/No): Replacement Housing Factor Grant No:		Total Estimated Cost		Total Actual Cost		Status of Work
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Amp 1	Inspection Costs	1430		10,000				Not Started
Amp 2	Inspection Costs	1430		10,000				Not Started
Amp 3	Inspection Costs	1430		10,000				Not Started
Amp 4	Inspection Costs	1430		10,000				Not Started
Amp 5	Inspection Costs	1430		10,000				Not Started
Amp 6	Inspection Costs	1430		10,000				Not Started
Amp 7	Inspection Costs	1430		5,000				Not Started
	Subtotal			\$ 65,000				
	Total			\$2,916,793				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

FY 2011 ANNUAL PLAN

ATTACHMENT Y(2)

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-501-11

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2011	
PHA Name: Housing Authority of the City of East St. Louis		Capital Fund Program Grant No: IL06R00150111		FFY of Grant Approval: 2011	
Type of Grant		Reserve for Disasters/Emergencies			
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Performance and Evaluation Report for Period Ending:			
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:)			
Summary by Development Account		Total Estimated Cost		Total Actual Cost¹	
Line	Description	Original	Revised²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	\$234,457.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00150111 Date of CFFP:	FFY of Grant Approval: 2011	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant:: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LBP Activities	\$234,457.00	
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date 1/13/11		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHP funds shall be included here.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937 as amended

FY 2011 ANNUAL PLAN

ATTACHMENT Y(3)

REPLACEMENT HOUSING FACTOR GRANT IL-06-R001-502-11

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number		FFY of Grant: 2011	
PHA Name: Housing Authority of the City of East St. Louis		Capital Fund Program Grant No: IL06R00150211		FFY of Grant Approval: 2011	
Date of CFFP:		Replacement Housing Factor Grant No: IL06R00150211			
Type of Grant		Reserve for Disasters/Emergencies			
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Performance and Evaluation Report for Period Ending:			
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:)			
<input type="checkbox"/> Summary by Development Account		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Original	Revised²	Obligated	Total Actual Cost¹
1	Total non-CFFP Funds				Expended
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	\$152,061.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2011	
PHA Name: Housing Authority of the City of East St. Louis	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: IL06R00150211 Date of CFFP:	FFY of Grant Approval: 2011	
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:			
<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)		<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost	Total Actual Cost ¹
		Original	Revised ²
18a	1501 Collateralization or Debt Service paid by the PHA		Obligated
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		Expended
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)		
21	Amount of line 20 Related to LBP Activities	\$152,061.00	
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	
Date		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴ RHF funds shall be included here.

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¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

Annual Statement/Performance and Evaluation Report

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Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

FY 2011 ANNUAL PLAN

ATTACHMENT Z
CAPITAL FUND PROGRAM FIVE-YEAR ACTION PLAN

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Part I: Summary

PHA Name/Number		Locality (City/County & State)			X Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY ____ 2011 ____	Work Statement for Year 2 FFY ____ 2012 ____	Work Statement for Year 3 FFY ____ 2013 ____	Work Statement for Year 4 FFY ____ 2014 ____	Work Statement for Year 5 FFY ____ 2015 ____
B.	Physical Improvements Subtotal	Annual Statement	2,407,000	2,422,199	2,415,000	1,815,000
C.	Management Improvements		345,000	340,000	345,000	345,000
D.	PHA-Wide Non-dwelling Structures and Equipment		75,000	300,000		600,000
E.	Administration		345,000	340,000	345,000	345,000
F.	Other					
G.	Operations		345,000	340,000	345,000	345,000
H.	Demolition					
I.	Development					
J.	Capital Fund Financing -- Debt Service					
K.	Total CFP Funds		3,517,000	3,402,199	3,450,000	3,450,000
L.	Total Non-CFP Funds					
M.	Grand Total					

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

PHA Name/Number		Locality (City/county & State)		X Original 5-Year Plan	Revision No.	
A.	Development Number and Name	Work Statement for Year 1 FFY _2011_	Work Statement for Year 2 FFY _2012_	Work Statement for Year 3 FFY _2013_	Work Statement for Year 4 FFY _2014_	Work Statement for Year 5 FFY _2015_
	AMP 1	Annual Statement	350,500	271,000	221,000	441,000
	AMP 2		343,000	31,000	746,500	141,500
	AMP 3		393,000	791,000	671,500	141,500
	AMP 4		655,000	171,000	271,500	240,500
	AMP 5		275,000	671,000	486,500	570,500
	AMP 6		446,000	570,000	321,500	1,084,500
	AMP 7		364,500	217,199	41,500	140,500
			2,827,000	2,722,199	2,760,000	2,760,000

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form HUD-50075.2 (4/2008)

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Expires 4/30/2011**

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Office of Public and Indian Housing
Expires 4/30/2011

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _ 2011 _	Work Statement for Year ____ 4 FFY 2014		Work Statement for Year: ____ 5 FFY 2015			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 2 IL 1-2, -3			AMP 2 IL1-2, -3		
Annual	AC/ AC Cages	IL1-3	200,000	Security Cameras		120,000
Statement	Fencing	IL1-3	250,000			
	Black Top	IL1-3	75,000			
	Site Lighting	IL1-3	200,000			
			\$ 725,000			
	Subtotal of Estimated Cost		\$ 725,000	Subtotal of Estimated Cost		\$ 120,000

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Office of Public and Indian Housing
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Part II: Supporting Pages – Physical Needs Work Statement(s)					
Work Statement for Year 1 FFY _2011_	Work Statement for Year __2__ FFY 2012		Work Statement for Year: __3__ FFY 2013		
Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
AMP 3 IL1-4, -26, -41			AMP 3 IL1-4, -26, -41		
Patio Enclosure		30,000	Kitchen Upgrade		300,000
Pole Barn		25,000	Exterior Fence	IL1-41	200,000
Turnover		43,000	Furnance Replacement		270,000
Preventive Maintenance		43,000			
Marquee		15,000			
Correct Roof Overhang		67,000			
Jet Vac Sewer		12,500			
Parking Lot/ Sidewalk Repair		36,000			
Exterior Stair replacement		50,000			
Replace Security Screens		50,000			
Subtotal of Estimated Cost		\$ 371,500	Subtotal of Estimated Cost		\$ 770,000

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY ____2011__	Work Statement for Year ____4____ FFY 2014		Work Statement for Year: ____5____ FFY 2015			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
<div>Sec</div>	AMP 3 IL1-4, -26, -41			AMP 3 IL1-4, -26, -41		
<div>Amalg</div>	AC/ AC Cages	IL1-4	200,000	Security Cameras		120,000
<div>Statement</div>	Fence	IL1-4	150,000			
	Exterior Painting	IL1-4, IL1-41	100,000			
	Replace/ Repair Roof	IL1-4	200,000			
</						

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year I FFY 2011__	Work Statement for Year ____ 4 FFY 2014		Work Statement for Year: ____ 5 FFY 2015			
	Development Number/Name General Description of Major Work Categories AMP 4 IL1-5, -13,-27, -28, -38, -39	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories AMP 4 IL1-5, -13,-27, -28, -38, -39	Quantity	Estimated Cost
<i>See Appendix A</i>	Exterior Painting	IL1-27, 28	50,000	Fencing	IL1-13	100,000
<i>See Appendix B</i>	Fencing		150,000	Security Cameras		120,000
<i>See Appendix C</i>	Site Lighting	IL1-5	50,000			
<i>See Appendix D</i>						
<i>See Appendix E</i>						
<i>See Appendix F</i>						
<i>See Appendix G</i>						
<i>See Appendix H</i>						
<i>See Appendix I</i>						
<i>See Appendix J</i>						
<i>See Appendix K</i>						
<i>See Appendix L</i>						
<i>See Appendix M</i>						
<i>See Appendix N</i>						
<i>See Appendix O</i>						
<i>See Appendix P</i>						
<i>See Appendix Q</i>						
<i>See Appendix R</i>						
<i>See Appendix S</i>						
<i>See Appendix T</i>						
<i>See Appendix U</i>						
<i>See Appendix V</i>						
<i>See Appendix W</i>						
<i>See Appendix X</i>						
<i>See Appendix Y</i>						
<i>See Appendix Z</i>						
	Subtotal of Estimated Cost		\$250,000	Subtotal of Estimated Cost		\$ 220,000

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2011_	Work Statement for Year 2 FFY 2012		Work Statement for Year 3 FFY 2013			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual	AMP 5, IL1-7 HR Turnover		43,000	AMP 5 IL1-7 HR Repair Parking Lot/Speed Bumps/ Sidewalks		200,000
Statement	Preventive Maintenance		43,000	Correct Exposed Rebar		150,000
	Marquee		15,000	Install Security Cameras		150,000
	Jet Vac Sewer		12,500			
	Subtotal of Estimated Cost		\$113,500	Subtotal of Estimated Cost		\$500,000

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2011	Work Statement for Year 4 FFY 2014		Work Statement for Year 5 FFY 2015			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AMP 5 IL1-7 HR Asbestos Floor tile Removal		50,000	AMP 5 IL1-7 HR Shut off Valves		400,000
	Correct Electrical Box Issues		50,000			
	Fencing		215,000			
	Subtotal of Estimated Cost		\$315,000	Subtotal of Estimated Cost		\$ 400,000

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Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____ 2011_	Work Statement for Year _____ 4 FFY 2014		Work Statement for Year: _____ 5 FFY 2015			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AMP 7 IL1-7, -21, -44, Landscaping		25,000	AMP 7 IL1-7, -21, -44, Security Cameras		120,000
	Subtotal of Estimated Cost		\$25,000	Subtotal of Estimated Cost		\$ 120,000

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Office of Public and Indian Housing
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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013		
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
<div></div>	AMP 1 Management Staff Training	6,000	AMP 1 Management Staff Training	6,000
<div></div>	AMP 2 Management Staff Training	6,500	AMP 2 Management Staff Training	6,000
<div></div>	AMP 3 Management Staff Training	6,500	AMP 3 Management Staff Training	6,000
<div></div>	AMP 4 Management Staff Training	6,500	AMP 4 Management Staff Training	6,000
<div></div>	AMP 5 Management Staff Training	6,500	AMP 5 Management Staff Training	6,000
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Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year 4 FFY 2014	Work Statement for Year: 5 FFY 2015		
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
Sec	AMP 1 Management Staff Training	6,000	AMP 1 Management Staff Training	6,000
Annual	AMP 2 Management Staff Training	6,500	AMP 2 Management Staff Training	6,500
Statement	AMP 3 Management Staff Training	6,500	AMP 3 Management Staff Training	6,500
	AMP 4 Management Staff Training	6,500	AMP 4 Management Staff Training	6,500
	AMP 5 Management Staff Training	6,500	AMP 5 Management Staff Training	6,500
	AMP 6 Management Staff Training	6,500	AMP 6 Management Staff Training	6,500
	AMP 7 Management Staff Training	6,500	AMP 7 Management Staff Training	6,500
	Subtotal of Estimated Cost	\$ 45,000	Subtotal of Estimated Cost	\$ 45,000

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2011	Work Statement for Year 2 FFY 2012	Work Statement for Year 3 FFY 2013		
Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories	Development Number/Name General Description of Major Work Categories	Estimated Cost	Estimated Cost
AMP 5 Security Costs	AMP 5 Security Costs	AMP 5 Security Costs	150,000	150,000
AMP 6 Security Costs	AMP 6 Security Costs	AMP 6 Security Costs	150,000	150,000
Subtotal of Estimated Cost			\$ 300,000	\$ 300,000

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY	Work Statement for Year 4 FFY 2014		Work Statement for Year 5 FFY 2015	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
Sec	AMP 5 Security Costs	150,000	AMP 5 Security Costs	150,000
Admin	AMP 6 Security Costs	150,000	AMP 6 Security Costs	150,000
Statement				
	Subtotal of Estimated Cost	\$ 300,000	Subtotal of Estimated Cost	\$ 300,000

FY 2011 ANNUAL PLAN

**ATTACHMENT AA
RESIDENT ADVISORY BOARD COMMENTS & HOUSING
AUTHORITY OF THE CITY OF EAST ST. LOUIS RESPONSES**

**PHA 5-YEAR AND ANNUAL PLAN
FISCAL YEAR 2011**

11.0 (f) Resident Advisory Board Comments

1. Copies of the draft PHA 5-Year and Annual Plan for fiscal year 2011 were distributed to members of the RAB on December 2, 2010. The follow-on meeting to discuss the draft Plans was held on December 28, 2010.

December 28, 2010 Meeting

2. Attendees:

Ms. Edna Mayes	President - Resident Advisory Board President – E-2 Building
Ms. Cenola Miller	Vice-President – Resident Advisory Board President – Starnes Building
Ms. Beatrice Randall	Treasurer – Resident Advisory Board President – Brenton Building
Ms. Cathy Boyd	Secretary – Resident Advisory Board President – Samuel Gompers Homes
Ms. Angela Madison	President – John Robinson Homes
Ms. Sharon Hendricks	ESLHA Advisory Board of Commissioners Member President – John DeShields Homes
Ms. Yolanda Walker	President – Ruggeri Building
Mr. John Prather	ESLHA – Administrative Services Director
Mr. Al Hall	ESLHA – Resident Services
Mr. Michael Key	ESLHA – Resident Services

3. Members of the RAB asked on the Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program what the line item called 1499 Development Activities meant?

4. Several RAB members asked when residents at the Orr-Weathers D-1/E-2 buildings and at the Lansdowne Ruggeri Building could expect to receive new kitchen cabinets?

5. One RAB president stated that all high rise apartment units where a good portion of seniors reside ought to have grab bars in the bathrooms particularly over the bath tubs.

6. The president of John Robinson Homes wanted to know when she would have a community center in her complex for meetings? Specifically, she thought the now closed

Emily Willis center should have the right side of the building renovated and opened while the left side is torn down.

7. There was a discussion of back porch enclosures in the family units. Currently, they are made of wood and deteriorate rather quickly and are subject to damage such as removal of slats and related damage.

8. The RAB asked what were pole barns and why were they needed? One RAB president noted that AMP 6 had money placed in the FY2011 program for a pole barn when the AMP already has a pole barn. Why?

9. There was a general discussion of tree trimming requirements in the various AMPs verses landscape requirements. One RAB president thought both types of projects could be done by the same persons and why were there two separate contracts?

10. There was a discussion of the security guard contract for the high rise apartment buildings. Staff explained that the U.S. Attorney for the Southern District of Illinois has indicated that John DeShields Homes, Samuel Gompers Homes and Roosevelt Homes have the greatest crime problems of all the public housing developments. His office is working on a plan to provide armed police officers to the housing authority to help handle crime problems. This effort would be funded by the Housing Authority. If funded by the Housing Authority, this effort could impact adversely on the current security guard contract for the high rise apartment buildings. RAB presidents asked why the Housing Authority should pay for services that they believe are already being provided? One president thought that things should stay the way they are and that the additional security will be a waste of time and money. Since the services, in that president's view are already being provided at no cost to the Housing Authority. The question was brought forth as to how the proposed plan will enhance security in the various developments?

11. RAB presidents wanted to know in the Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program what the lines Operations - Development Account No. 1406, Administrative Fee - Development Account No. 1410 and Inspection Costs – Development Account 1430 were going to be used for?

12. RAB presidents said that money had been set aside in previous programs to install individual thermostats in each high rise building living unit and then nothing had happened. Why?

13. There was a discussion of the fact that some kitchen range hoods were being replaced. Several RAB presidents stated there was still a need in a number of units to replace the range hoods.

14. The Samuel Gompers president mentioned installing awnings over the entry doors to units in her development. She said there are none and when it rains, water can get inside. She also said that entry doors in a number of units need new seals. That the current seals are not working very well. She said that the medicine cabinets in some units were rusted and need replacement. Additionally, laundry access doors were removed and need to be replaced. Is there a plan for central air in Samuel Gompers Homes?

15. The President of the RAB stated that she understood from Housing Authority staff that there was no more money for recreational programs for residents. That there would be no more trips to WalMart, to the Christmas Light shows or to Country Buffet. These reductions she understood were due to budget cuts. She noted in IL06P001501-08 that the lines Resident Training, and Resident Service Staff Salary Benefits were zeroed out as far as funding was concerned. She noted that these lines did not even appear in IL06P001501-11. She stated that she wanted travel and funding for other activities reinstated.

16. Several RAB presidents expressed concerns about IL06P001501-09 where it states that money has been set aside for the purchase of cars for the managers. RAB presidents questioned allowing the funding of this line while cutting transportation for residents.

17. The John Robinson Homes president said there was a large open area now in the middle of her development. She asked if a play ground area for the children had been considered for John Robinson Homes?

Edna Mayes
Edna Mayes
President Resident Advisory Board

1 -10-11
Date

**PHA ANNUAL PLAN
FISCAL YEAR 2011
HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS
RESPONSES TO RESIDENT ADVISORY BOARD COMMENTS**

Note: Paragraph numbers conform to paragraph numbers in the Resident Advisory Board Comments

3. Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program line item 1499 Development Activities includes costs of items related to new construction of living units. Capital Funds have been used for modernization projects since the need is great in this area. New construction has not been funded with these streams of funds. This is why the line 1499 Development Activities reads zero.

4. Developments in which living units are scheduled to receive new kitchen cabinets are as follows:

2008 Capital Fund Program

John DeShields/John Robinson Homes – complete \$109,266.65

Norman E. Owens Plaza – complete \$5,344.51

2009 Capital Fund Program

Orr-Weathers D-1/E-2 Buildings – allocation \$200,000

Lansdowne Towers Brenton/Ruggeri/Rukavina Buildings – allocation \$300,000

Norman E. Owens Plaza/Orr-Weathers Row Homes – complete \$16,752.08

2010 Capital Fund Program

John DeShields Homes – allocation \$100,000

Roosevelt Homes – allocation \$80,000

Lansdowne Towers Brenton/Ruggeri/Rukavina/Starnes Buildings – allocation \$125,000

Norman E- Owens Plaza – allocation \$30,000

Exact start dates for the above projects are not set as yet, however, management believes several of these projects including those in the 2009 capital fund program will start this calendar year.

5. Grab bars in bathrooms and around bathtubs of every high rise unit. Management believes the RAB president is referring to incorporating universal design features in living units. This is an excellent idea and particularly so for new construction. The high rise apartment buildings were built in the 1960s before universal design was a construction consideration. This idea may not be possible from a cost point of view and is more than likely dependent upon whether there is sufficient support for grab bars behind existing walls. The Housing Authority will further review this proposed idea.

6. Emily Willis building usage. The Emily Willis building has been closed for several years and has fallen into some disrepair. A decision needs to be made as to whether tearing down part of the building and renovating what remains is a cost effective decision. The Housing Authority will look into this matter further this year and determine a best course of action for the facility.

7. Back Porch Patio Enclosures. The plan along with a sample of a plastic/composite material was put forth as a way to solve the problem. RAB members were generally supportive of the proposed plan to change out patio enclosures. Funding in the 2011 Capital Fund Program has been set aside for porch patio enclosures.

8. Pole Barns. Two AMPs out of seven currently have pole barns (metal building on a concrete slab with garage door access) to store supplies, lawn and snow equipment and if need be trailers and vehicles. With the conversion to asset based management and general decentralization, a pole barn or some related storage facility is necessary for each of the AMPs. The pole barn projected for AMP 6 was an error and has been removed from the proposed 2011 capital fund program.

9. Tree Trimming vs. Landscape Contracts. One RAB president believed that it was a waste of resources and funds to have two different contracts for what she believed was essentially similar activities. The tasks are different. The tree trimming contracts primarily apply to cutting back adult trees overhanging buildings, reducing foliage so that security cameras have better views of surrounding areas and cutting down trees where roots have caused problems with sidewalks, foundations and sewer pipes. Landscaping includes the installation of small trees and bushes and other efforts to improve appearance of the AMPs. The same contractor may bid on either or both contracts but the scope of work is different so as to require separate contract request for proposals.

10. Current vs. Possible Proposed Future Security Contracts to Protect ESLHA Residents and Developments. Periodic meetings are held with the U.S. Attorney concerning upgrading security in several of the ESLHA's developments. The points made by the RAB will be reviewed and if appropriate discussed with staff from the U.S. Attorney's office as possible plans move forward. Security provided by the City of East St. Louis may not be as effective as in the past as 16 police officers were laid off January 1, 2011, as part of the City's cost cutting program to balance its budget.

11. RAB members asked what the following accounts in the Capital Fund program are used for:

A. Operations-Development Account No. 1406 – This account is used by the AMPs and may include, but is not necessarily limited to salaries of staff to include property managers, their assistants and maintenance personnel and for parts and materials to repair units. This is particularly important when HUD funds the Housing Authority, as it has in the recent past, at 90% of what is the proposed budget.

B. Administrative Fee-Development Account No. 1410 – This account pays for the costs of operating the Modernization program and goes primarily to salaries of those involved in Modernization projects.

C. Inspection Costs-Development Account No. 1430 – This pays the salaries of in house inspectors for Modernization projects and for architects and engineers used to insure projects proceed properly and are completed satisfactorily.

12. Installation of thermostats in each unit of the high rise apartment buildings. This issue has been brought forth several times by the Resident Advisory Board.

Background: The heating systems in the high rise buildings date from the 1960s. There are no air conditioning systems in the living units except for window air conditioners owned by residents. The system was originally a steam system converted to a hot water system. Hot water flows throughout the pipe system and through each unit where there is a base board finned heat pipe system typically two to three feet long. The air around the fins is heated rises in the room and proceeds in a circulatory motion heating the room. The only control over heat is a vent over the side of the finned pipe which can be opened and closed to provide some control of the heating system. Additionally, all piping in the system is 45 years old and buildup of rust and corrosion in pipes is a potentially serious problem to removal and replacement. Given the nature of the current system thermostats cannot be installed in individual units to control heat.

Options: The Housing Authority looked at replacing the current system with in-wall electrical heating and air conditioning units similar to those found in hotels. These units are believed to be very expensive and there are serious questions as to whether the current electrical infrastructure can support the power requirements of such units placed in living areas and in bedrooms of living units.

Actions: New heating boilers have been placed in both the Orr-Weathers D-1 and E-2 buildings which should improve the delivery of heat to individual units in both high-rise buildings. Additionally, the 2011 capital fund plan calls for the replacement of heating boilers in the Lansdowne Towers Brenton, Ruggeri, Rukavina and Starnes apartment buildings.

13. Range Hood Replacement. Kitchen stove range hoods have been replaced and are being replaced in a number of units. The Housing Authority will continue to identify range hoods that need replacement through periodic inspections. The Housing Authority will also ask the Resident Advisory Board to provide a list of those units where the resident believes their range hood should be replaced.

14. Samuel Gompers Homes Awnings Over Entry Doors, Door Seals, Medicine Cabinets and Central Air. These concerns have been turned over to the Modernization Department for review and possible future action.

15. Resident Funding. The Housing Authority would prefer to use capital funds to directly support the capital needs of the Housing Authority. The Housing Authority would prefer to use operations funds to support our residents. Budgets are now controlled at the Asset Management Project level. Where there are funding shortages and AMPs cannot operate without incurring a loss certain programs must be cut back or eliminated. The Housing Authority will review the issues raised by the President of the Resident Advisory Board in regard to cut back of services previously provided to residents.

16. Vehicles for Property Managers. Under the Asset Management Project concept of operations property managers were made more responsible for their budgets and expenditures to include capital fund initiatives. Property managers then placed vehicles in their capital fund budgets. Property managers are also, when the need arises able to use a pool vehicle from Technical Services or log mileage when using their personal vehicle and seek reimbursement of expenses. The Housing Authority believes these options are cheaper than the purchase of additional vehicles for the exclusive use of the AMPs. The line items in the 2009

capital fund program for property manager vehicles have been zeroed out on the Total Estimated Cost Revised Line.

17. Playground Area for John Robinson Homes. This request has been turned over to the District Asset Manager and to the Modernization Department for review.

FY 2011 ANNUAL PLAN

ATTACHMENT BB CARBON MONOXIDE ALARM DETECTOR ACT

Compliance with Illinois Statute (430 ILCS 135/) Carbon Monoxide Alarm Detector Act

1. The East St. Louis Housing Authority completed a survey of all units to determine the need for carbon monoxide detectors in accordance with the Carbon Monoxide Alarm Detector Act. This survey was completed on November 17, 2006.
2. Two thousand three hundred thirty one carbon monoxide detectors were received in several shipments on or before December 18, 2006.
3. Installation of carbon monoxide detectors in living units began on December 15, 2006.
4. Completion date for compliance with the Illinois Carbon Monoxide Alarm Detector Act was December 29, 2007.
5. Carbon Monoxide detectors are periodically checked on a preventative maintenance schedule for proper operation.

FY 2011 ANNUAL PLAN

ATTACHMENT CC VIOLENCE AGAINST WOMEN ACT

Compliance with the Violence Against Women and Justice Department Reauthorization Act of 2005 (VAWA), Public Law 109-162:

1. The East St. Louis Housing Authority (ESLHA) developed a policy to comply with the VAWA early in the Spring of 2007. The Housing Authority Representative adopted and the Advisory Board of Commissioners received the draft policy per Resolution Number 02-07 on February 22, 2007. The policy was made a part of the ESLHA Admissions and Continued Occupancy Policy on that date.
2. The policy continues in full force as of this date.

FY 2011 ANNUAL PLAN

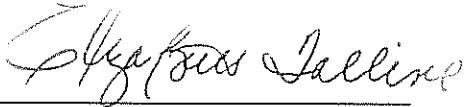
<p>ATTACHMENT DD CHALLENGED ELEMENTS CERTIFICATION</p>
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**THE HOUSING AUTHORITY OF THE CITY OF EAST ST. LOUIS
PHA PLANS**

Annual Plan for Fiscal Year 2011

Challenged Elements Certification

I certify that during the planning, development and public review process there were no challenges received to the elements contained in the submitted FY2011 Annual Plan.



Elizabeth Tolliver
Executive Director

January 6, 2011

Date